

6.5830

Lecture 3

Tim Kraska

Lab 0 Due

Lab 1 Out

Key ideas:

Advanced SQL

Schema Design



Database Design and Normalization and Database Internals

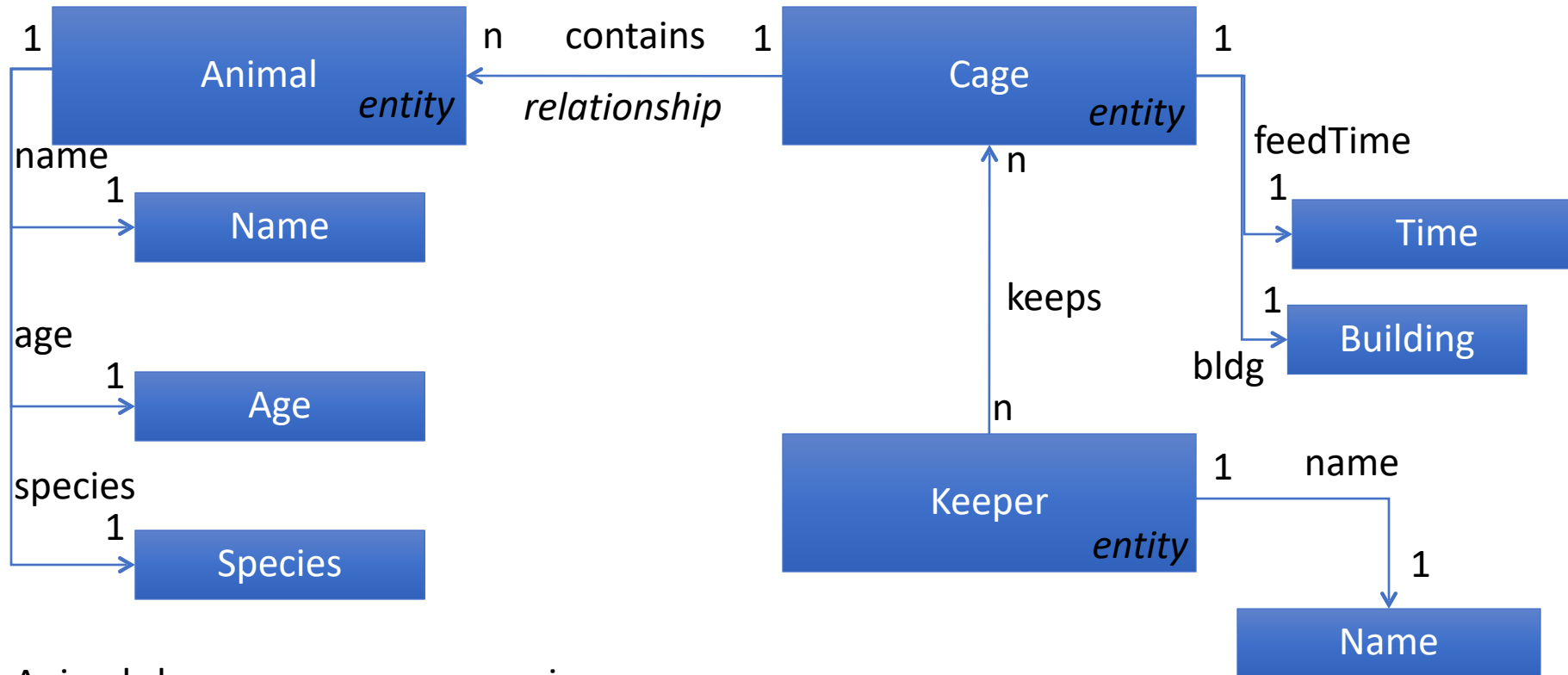
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Lecture 4

Mike Cafarella



Entity Relationship Modeling Already Saw with Zoo



Animals have names, ages, species

Keepers have names

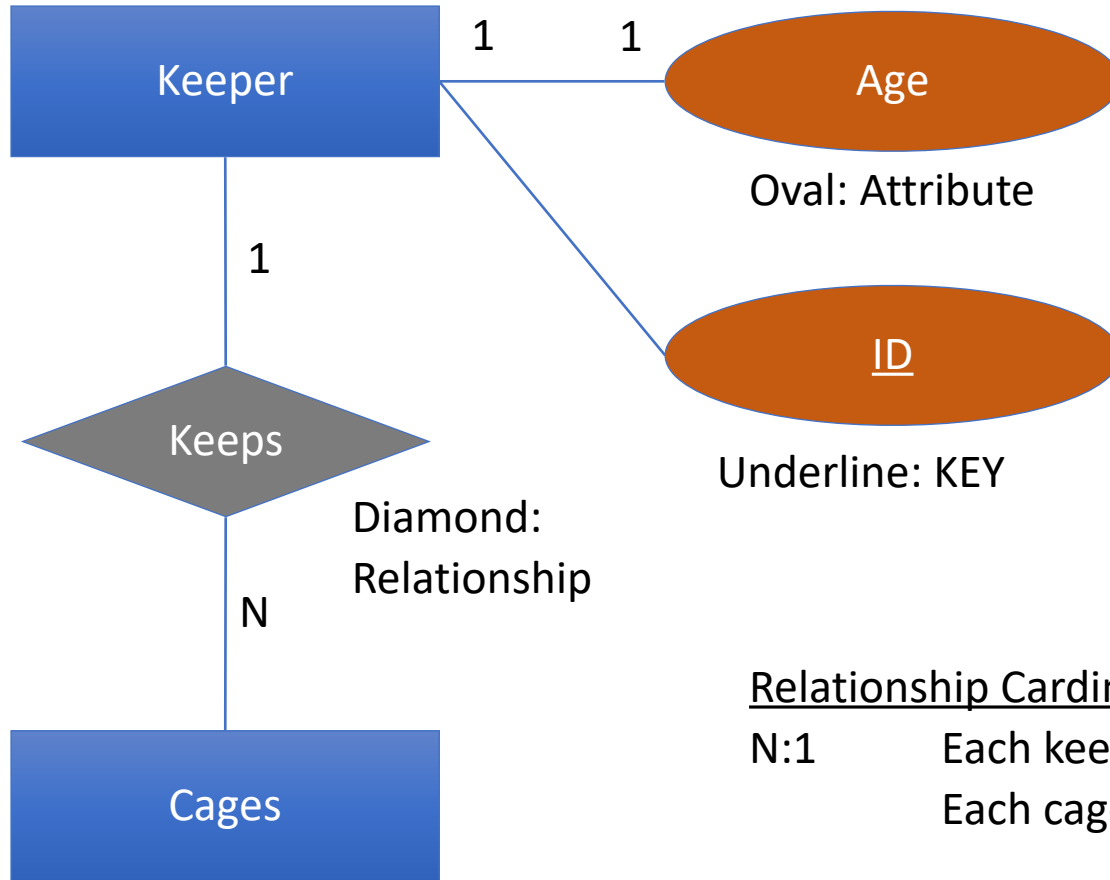
Cages have cleaning times, buildings

Animals are in 1 cage; cages have multiple animals

Keepers keep multiple cages, cages kept by multiple keepers

ER Diagrams

Rectangle: Entity



Oval: Attribute

Underline: KEY

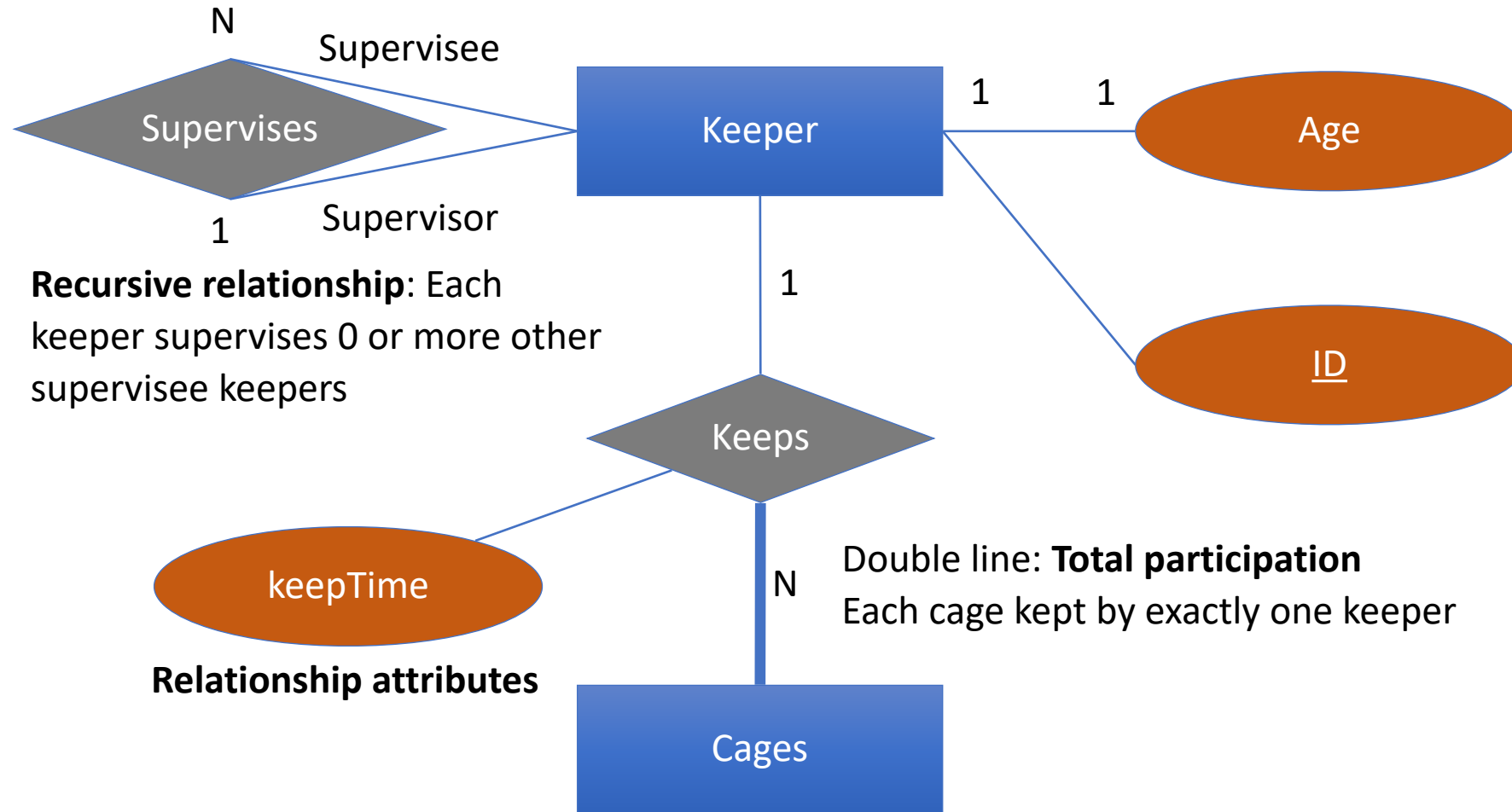
Diamond:
Relationship

Relationship Cardinality

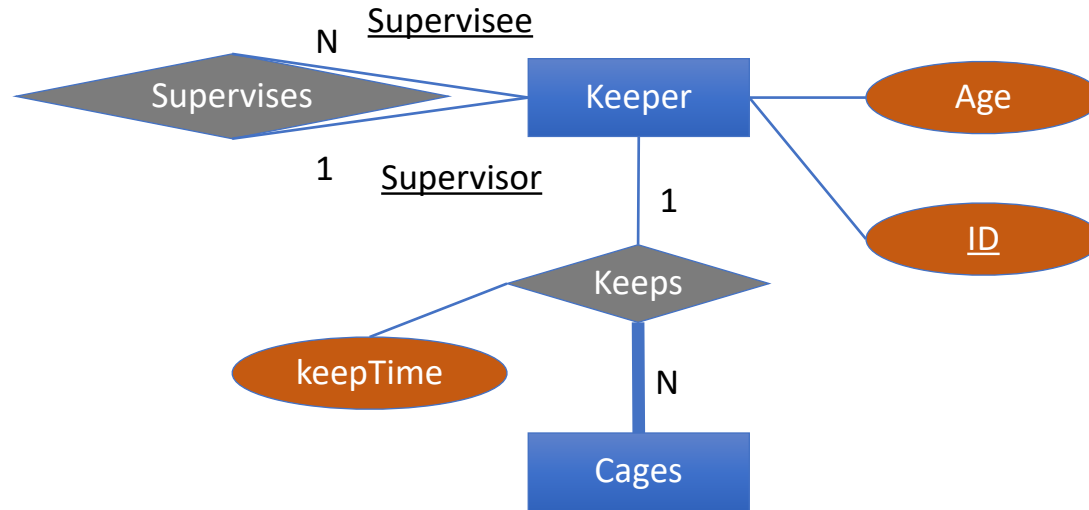
N:1 Each keeper keeps 0 or more cages
 Each cage kept by 0 or 1 keeper

N:N Each keeper keeps 0 or more cages
 Each cage kept by 0 or more keeper

More ER Modeling



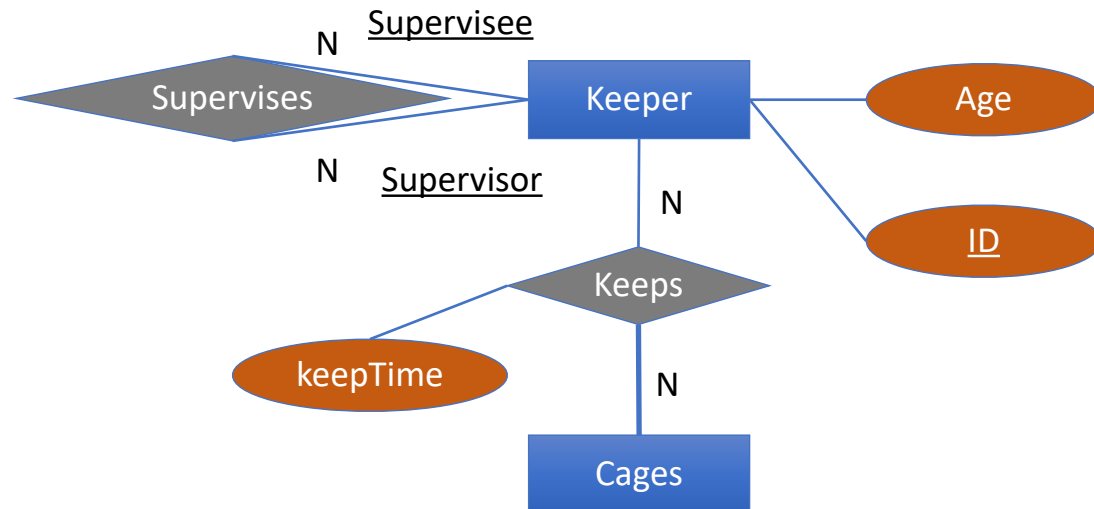
Converting to Relations



Keepers: (ID, age, ... supervisor REFERENCES Keepers.ID ...)

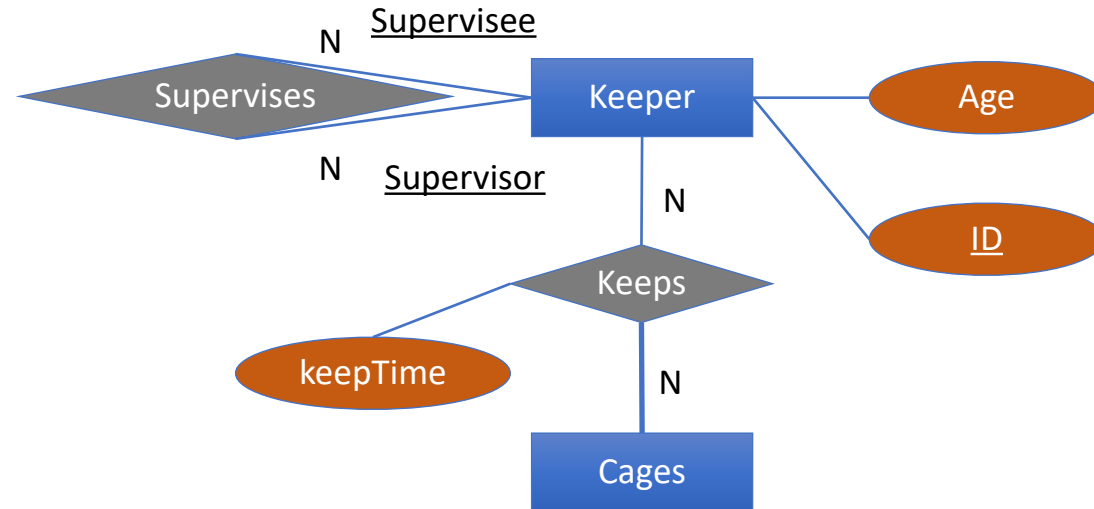
Cages: (keptby NON NULL REFERENCES Keepers.id, keepTime, ...)

Study Break



What if we change the relationships to be N:N?
(I.e., employees can have multiple supervisors, cages
can be kept by multiple keepers?)

Solution



Keeps: (ID, age)

Cages: (CageID, ...)

Keeps: (kid, cid, keepTime)

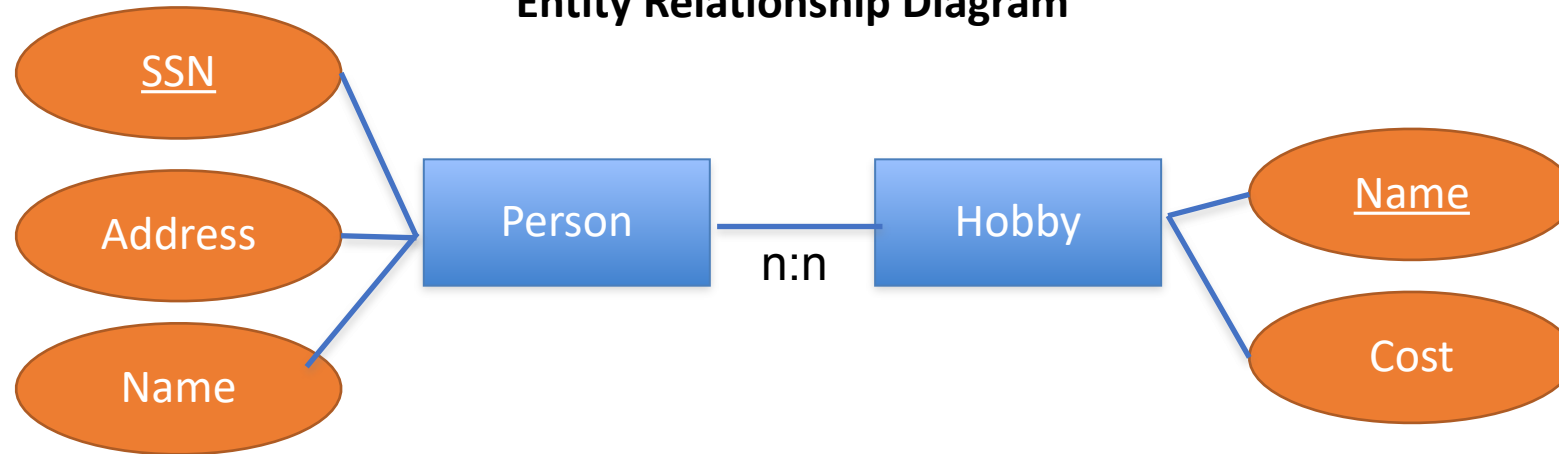
Supervises: (supervisor_kid, supervisee_kid)

Hobbies Example

- Consider a database about people & their hobbies



Entity Relationship Diagram



People have names and addresses, hobbies have costs

People can have multiple hobbies, and hobbies can be practiced by multiple people

Hobby DB, Attempt 1

SSN	Name	Address	Hobby	Cost
123	john	main st	dolls	\$
123	john	main st	bugs	\$
345	mary	lake st	tennis	\$\$
456	joe	first st	dolls	\$

Table key is
Hobby, SSN

“Wide” schema

- has redundancy (wasted space)
- anomalies in the presence of updates, inserts, and deletes
- + avoids joins

Types of Anomalies

- **Update anomaly**
 - E.g., address needs to change in several places
 - Creates possibility for inconsistency
- **Insertion anomaly** - what if we want to add someone with no hobby?
 - Can we use NULLs?
 - Problem: hobby is a part of the key!
- **Solution: “Normalize”!**

Hobby DB Attempt 2

SSN	Hobby
123	dolls
123	bugs
345	tennis
456	dolls

SSN	Name	Address	Cost
123	john	main st	\$
345	mary	lake st	\$\$
456	joe	first st	\$

- **“Lossy decomposition”**
- No redundancy, but we have lost some information (cost of hobbies)

Normalization

- *Normalized*: a schema that is redundancy free
 - As much as possible
- And that preserves dependencies
 - As much as possible
- Several methods:
 - ER Diagrams
 - Use functional dependencies and normal forms

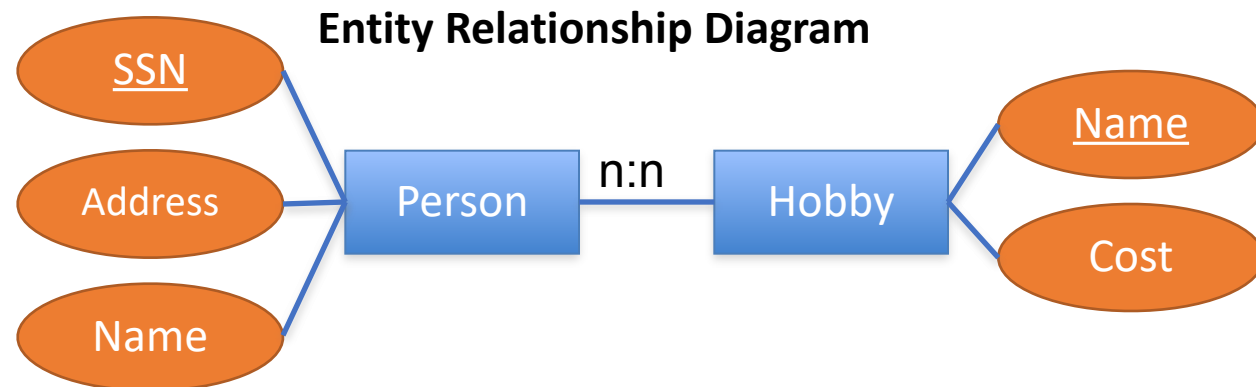
Schema From ER Diagram

SSN	Name	Address	Hobby	Cost	t
123	john	main st	dolls	\$	
123	john	main st	bugs	\$	
345	mary	lake st	tennis	\$\$	
456	john	first st	dolls	\$	

Hobby	SSN
dolls	123
bugs	123
tennis	345
dolls	456

Hobby, SSN → Hobby, SSN

Schema is free of anomalies and redundancy



Why Does Redundancy Arise?

- When a subset of attributes are uniquely determined by a *subkey*
 - E.g., SSN determines name, address
 - Key is SSN, Hobby
 - Each row with same SSN will duplicate data!

SSN	Name	Address	Hobby	Cost
123	john	main st	dolls	\$
123	john	main st	bugs	\$
345	mary	lake st	tennis	\$\$
456	joe	first st	dolls	\$

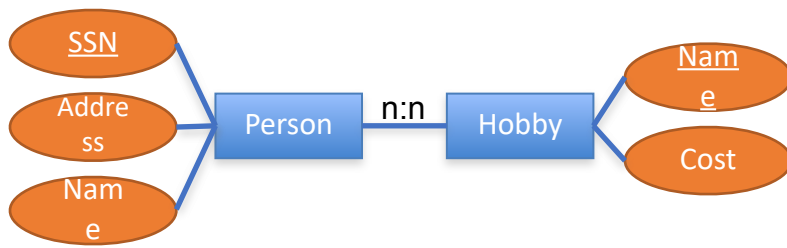
Functional Dependencies

- $X \rightarrow Y$
- Attributes X uniquely determine Y
 - I.e., for every pair of instances x_1, x_2 in X , with y_1, y_2 in Y , if $x_1=x_2$, $y_1=y_2$
- For Hobbies, we have:
 1. $SSN \rightarrow Name, Addr$
 2. $Hobby \rightarrow Cost$
 3. $SSN, Hobby \rightarrow Name, Addr, Cost$
- 1 & 2 imply 3, by union (“Armstrong’s Axioms”)
 - $F_1: A \rightarrow B, F_2: C \rightarrow D, F_1 \cup F_2 = A \cup C \rightarrow B \cup D$

FDs are a Property of the Application, Not the Data

- Can't necessarily tell the FDs by looking at the data
- Given the FDs, can verify that the data satisfies them!
- Example: Is cost a property of hobby or person?

SSN	Name	Address	Hobby	Cost
123	john	main st	dolls	\$
123	john	main st	bugs	\$
345	mary	lake st	tennis	\$\$
456	joe	first st	dolls	\$



ER Diagram forces DB designer to model this!

Question

Consider the following Excel spreadsheet

employee			project		billed			
eid	name	phone	pid	title	date	hours	rate	total
1	Mike	410-XXXX	1	Data modeling	9/9	3	\$150/h	\$450
1	Mike	410-XXXX	1	Data modeling	9/10	2	\$150/h	\$300
1	Mike	410-XXXX	2	Model Testing	9/10	3	\$25/h	\$150
2	Tim	510-XXXX	1	Data modeling	9/10	2	\$100/h	\$300
2	Tim	510-XXXX	3	Model Training	9/10	4	\$100/h	\$400

Write down all possible functional dependencies

<https://clicker.mit.edu/6.8530/>

Consider the following Excel spreadsheet

employee			project		billed			
eid	name	phone	pid	title	date	hours	rate	total
1	Mike	410-XXXX	1	Data modeling	9/9	3	\$150/h	\$450
1	Mike	410-XXXX	1	Data modeling	9/10	2	\$150/h	\$300
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2	Tim	510-XXXX	1	Data modeling	9/10	2	\$100/h	\$300
2	Tim	510-XXXX	3	Model Training	9/10	4	\$100/h	\$400

Select all valid functional dependencies.

A: eid → pid, title

D: Eid, pid → rate

G: eid, pid, date → hours, total

B: eid → name, phone

E: date → pid

H: hours, rate → total

C: pid → title

F: hours, date → rate

I: phone → eid, name

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Solution

B: eid -> name, phone *

C: pid -> title

D: Eid,pid->rate

G: eid,pid,date->hours,total

H: hours,rate->total

What about:
F: hours, date-> rate
I: phone-> eid, name
??

* based on the data phone->name, id & name-> id, phone are also valid, but not common based on common domain knowledge}}

Boyce-Codd Normal Form (BCNF)

For a relation R, with FDs of the form $X \rightarrow Y$, it is in BCNF iff

Every FD is either:

- 1) Trivial (e.g., Y contains X) ($SSN \rightarrow SSN, Name$)
- 2) X is a key of the table

- If an FD violates 2), multiple rows with same X value may occur
 - Indicates redundancy, as rows with given X value all have same Y value
 - E.g., $SSN \rightarrow Name, Addr$ in non-decomposed hobbies schema
 - SSN is not a key of the whole table
 - Name, Addr repeated for each appearance of a given SSN
- To put a schema into BCNF, create subtables of form XY
 - E.g., tables where key is left side (X) of one or more FDs
 - Repeat until all tables in BCNF
 - Effectively eliminates redundancy, while preserving (most) dependencies

BCNFify

BCNFify(schema **R**, functional dependency set **F**):

D = {(**R**,**F**)} // **D** is set of output relations

while there is a (schema ,FD set) pair (**S**,**F'**) in **D** not in BCNF, do:

 given **X**→**Y** as a BCNF-violating dependency in **F'**

 replace (**S**,**F'**) in **D** with

S1 = (**XY**,**F1**) and

S2 = ((**S-Y**) U **X**, **F2**)

 where **F1** and **F2** are the FDs in **F'** over **XY** or (**S-Y**) U **X**,

 respectively

End

return **D**

BCNFify Example for Hobbies

Iter 1

S = SSN, H = Hobby, N = Name, A = Addr, C = Cost

Schema	FDs
(<u>S, H</u>)	S, H → N, A, C
(S, H)	S → N, A
(S, H)	H → C

violates bcnf

key

Iter 2

Schema	FDs
(<u>S</u> , N, A)	S → N, A

Schema	FDs
(S, <u>H</u>)	S, H → C
(S, H)	H → C

violates bcnf

S, H → N, A, C implies
S, H → C

Did we lose S, H → N, A, C? No!
S, H → N, A, C is implied by H → C
and S → N, A

No, using union rule from
Armstrong's Axioms,

$S+H \rightarrow N, A + C$

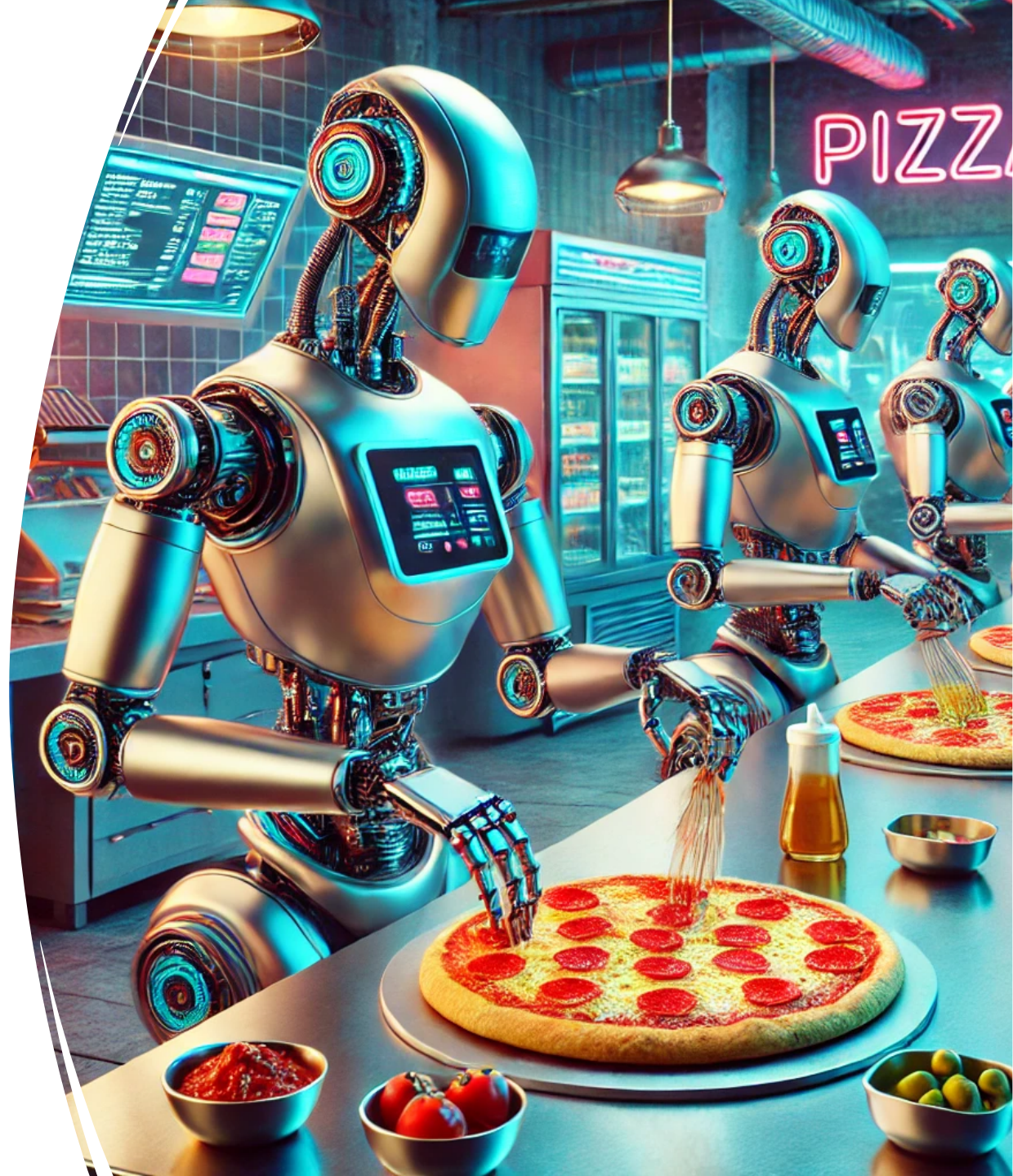
**3 remaining tables are same as
in ER decomposition**

Schema	FDs
(<u>H</u> , C)	H → C

Schema	FDs
(<u>S, H</u>)	

BCNF vs 3NF

A new pizzeria startup called Cheese, Veggies, and Meat specializes on making only Pizzas that allow to have 3 toppings: at most 1 cheese, at most 1 veggie, and at most 1 meat.



BCNF vs 3NF

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Pizza id	Topping	Type
1	Mozzarella	Cheese
1	Bacon	Meat
1	Spinach	Veggie
2	Mozzarella	Cheese
2	Sausage	Meat
2	Spinach	Veggie

```
CREATE TABLE pizza{
  id INT,
  topping varchar[30],
  type varchar[30],
  PRIMARY KEY (id, type)
}
```

BCNF vs 3NF

A new pizzeria startup called Cheese, Veggies, and Meat specializes in making only Pizzas that allow to have 3 toppings: at most 1 cheese, at most 1 veggie, and at most 1 meat.

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2	Spinach	Veggie

Redundancy!

```
CREATE TABLE pizza{
  id INT,
  topping varchar[30],
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}
```

This schema is in 3NF but not BCNF

BCNF vs 3NF

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1	Mozzarella	Cheese
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1	Spinach	Veggie
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2	Sausage	Meat
2	Spinach	Veggie

Redundancy!

```
CREATE TABLE pizza{
  id INT,
  topping varchar[30],
  type varchar[30],
  PRIMARY KEY (id, type)
}
```

FD:

ID, Type -> {}

Type -> topping

This schema is in 3NF but not BCNF

BCNF vs 3NF

A new pizzeria startup called Cheese, Veggies, and Meat specializes in making only Pizzas that allow to have 3 toppings: at most 1 cheese, at most 1 veggie, and at most 1 meat.

<u>id</u>	<u>topping</u>
1	Mozzarella
1	Bacon
1	Spinach
2	Mozzarella
2	Sausage
2	Spinach
2	Sausage

<u>topping</u>	<u>tuype</u>
Mozzarella	Cheese
Bacon	Meat
Spinach	Veggie
Sausage	Meat

Primary key on the table no longer prevents adding this row (dependencies are lost)

No redundancy and in BCNF but how do we enforce now that we have at most 1 of each topping type?

BCNF vs 3NF

- BCNF is not “dependency preserving”
- 3rd Normal Form (3NF) eliminates as much redundancy as possible while preserving all dependencies
 - We will skip the details
- Neither form is “better”
 - You can choose **either** dependency preservation (3NF) or redundancy-free (BCNF)

Study Break

- Patient database
- Want to represent patients at hospitals with doctors
- Patients have names, birthdates
- Doctors have names, specialties
- Hospitals have names, addresses
- One doctor can treat multiple patients, each patient has one doctor
- Each patient in one hospital, hospitals have many patients

1) Draw an ER diagram

2) What are the functional dependencies

3) What is the normalized schema? Is it redundancy free?

Solution

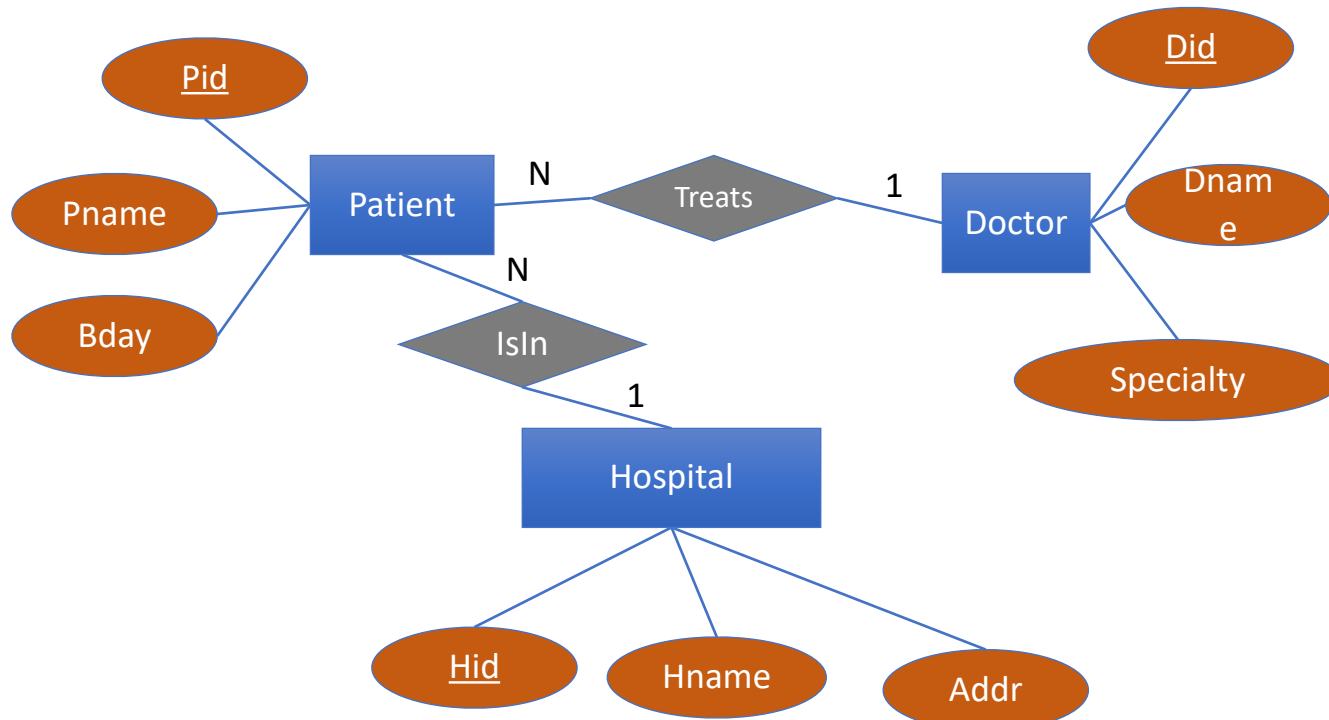
Patients have names, birthdates

Doctors have names, specialties

Hospitals have names, addresses

One doctor can treat multiple patients, each patient has one doctor

Each patient in one hospital, hospitals have many patients



Pid → Pname, Bday, P_Did, P_Hid

Hid → Hname, Addr

Did → Dname, Specialty

Patients (Pid, Pname, Bday, P_Did, P_Hid)

Hospitals (Hid, Hname, Addr)

Doctors (Did, Dname, Specialty)

Recap

- Properly normalized schemas avoid redundancy and preserve dependencies
- Functional dependencies and normal forms (e.g., BCNF) give us a formal way to reason about these concepts
- In practice, people use ER modeling to derive a schema in BCNF rather than the BCNFify algorithm

6.5830 Lecture 4: Part Deux



Database Internals

What happens inside?

```
SELECT *  
FROM animals  
WHERE species = 'Giraffe'
```



id	name	age	species	cageno
2	Mike	12	Giraffe	1

What happens inside?

```
SELECT name, addr, balance  
FROM accounts  
WHERE userid = ?
```



name	addr	balance
...

What happens inside?

```
SELECT image, imgid  
FROM planet_images  
WHERE likelyPlanet(image)=TRUE
```

Database systems can support

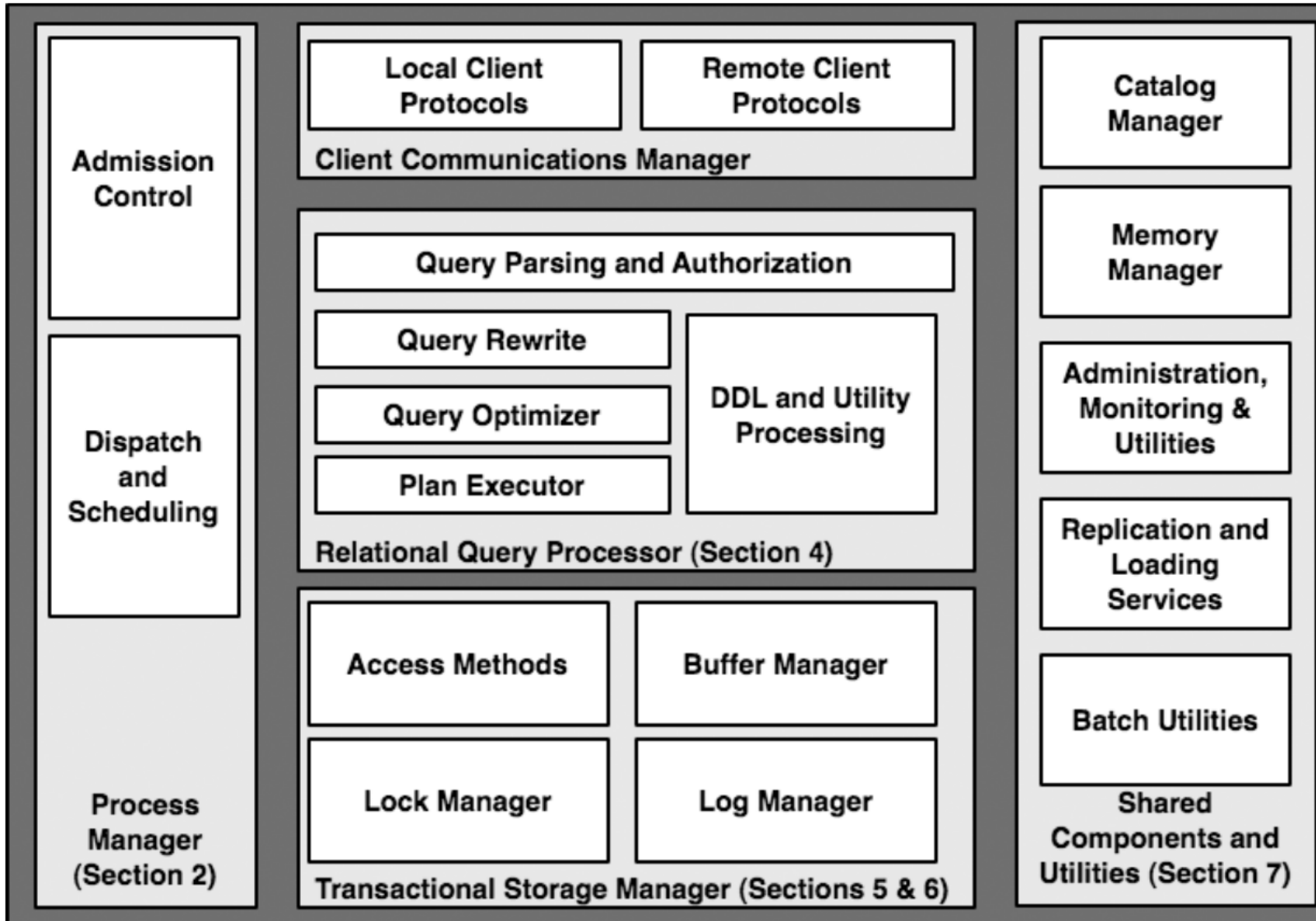
- interactive queries
- web applications
- large-scale science

and many other workloads

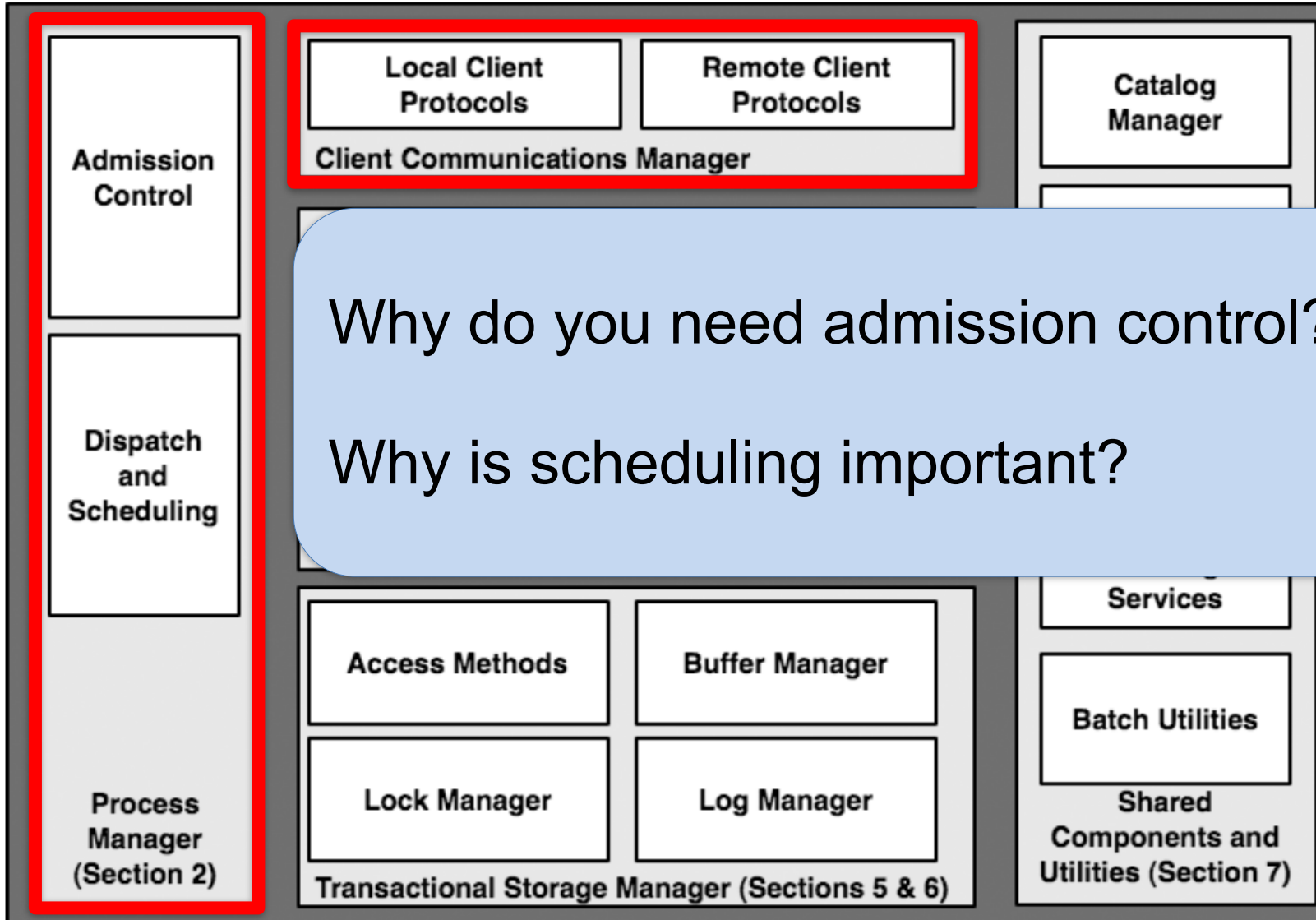


image	imgid
...	...

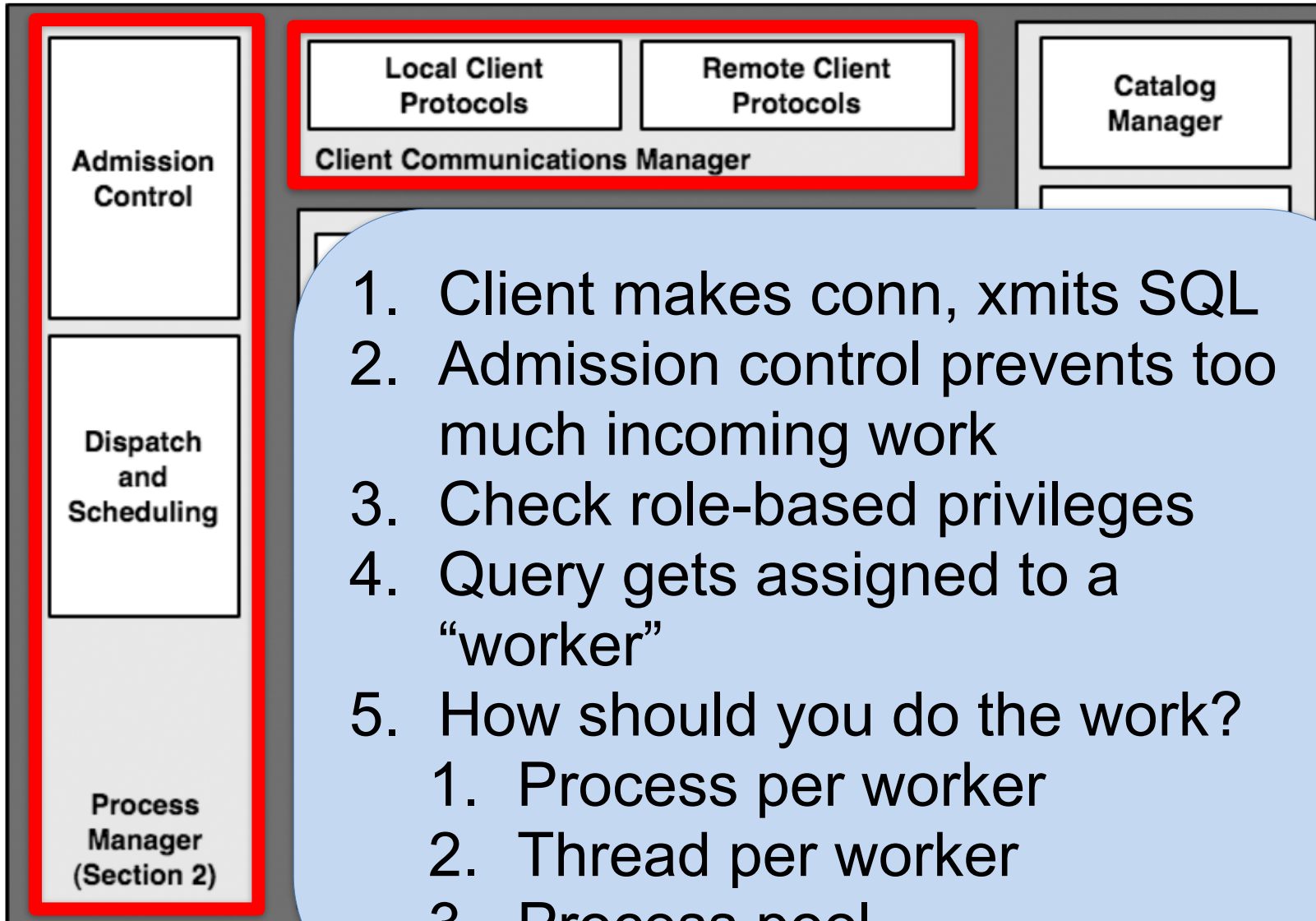
What happens inside?



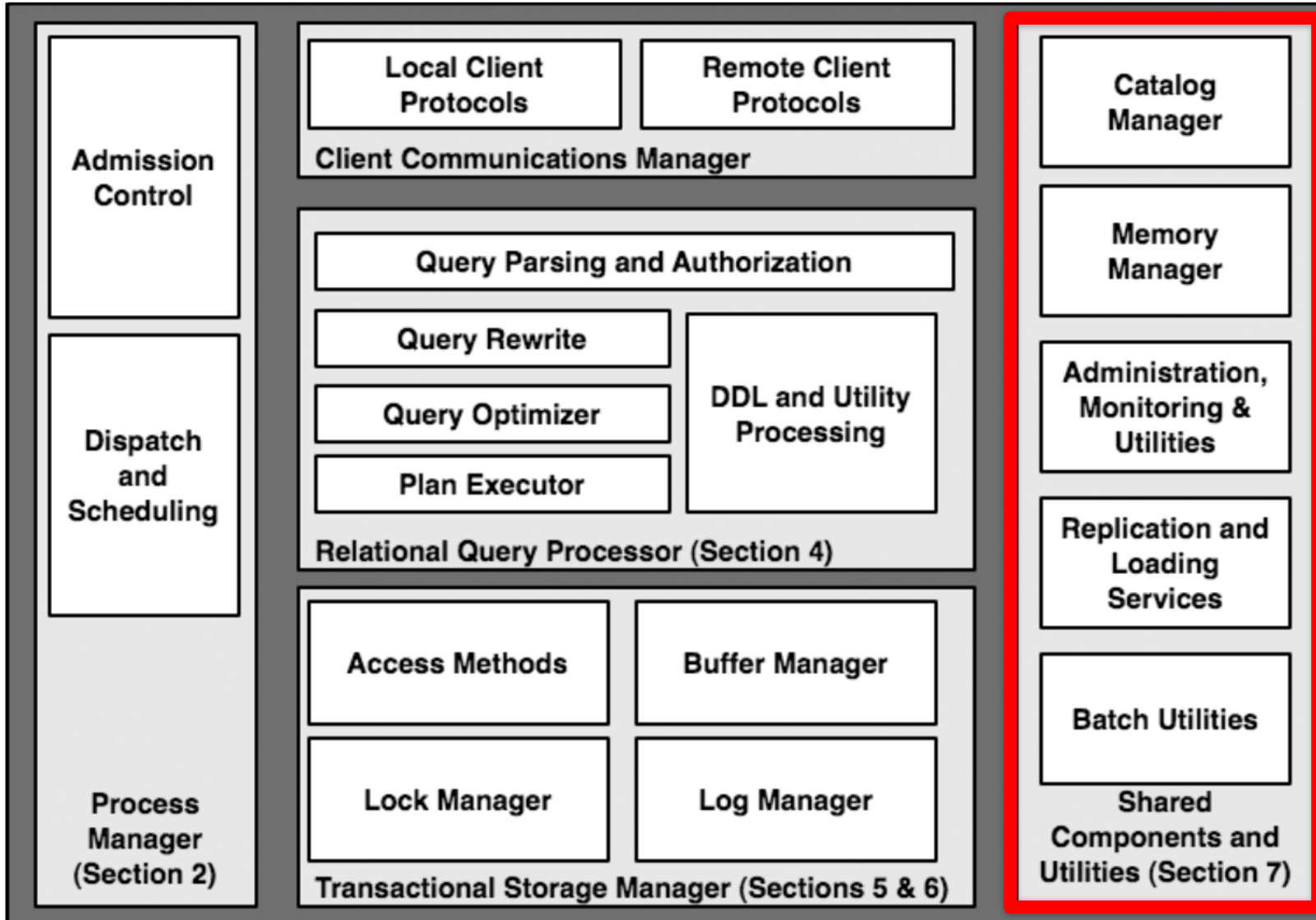
What happens inside?



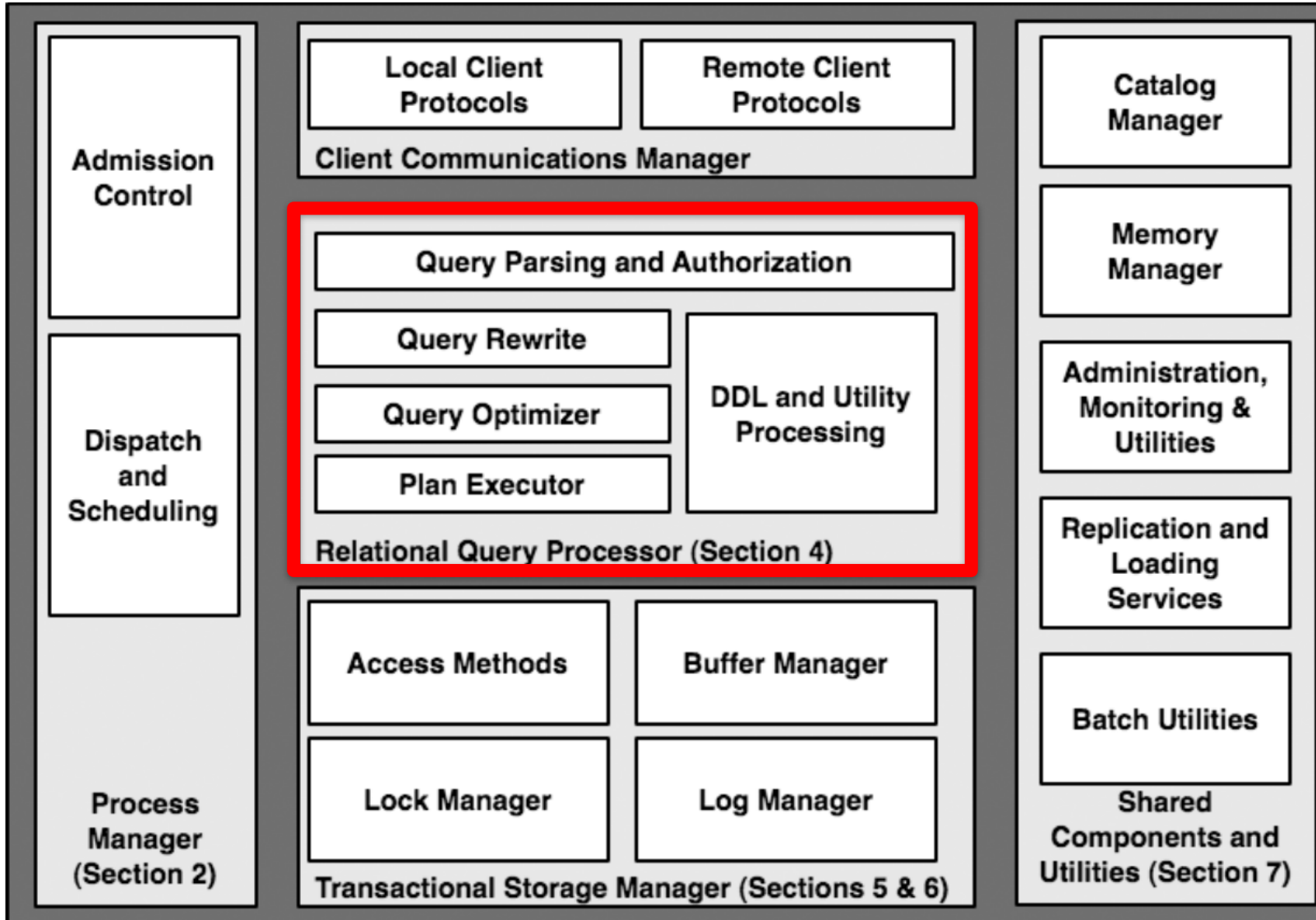
What happens inside?



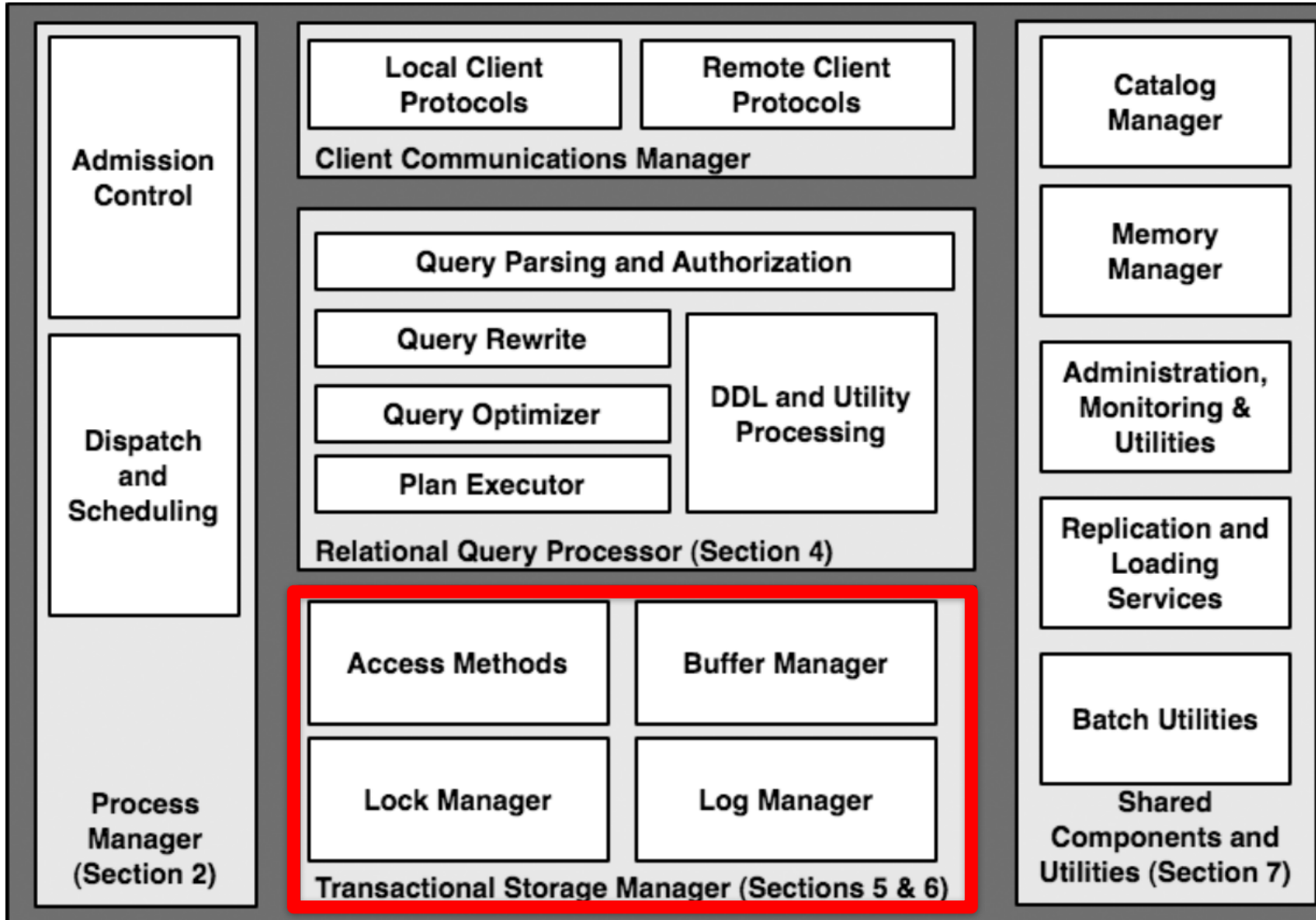
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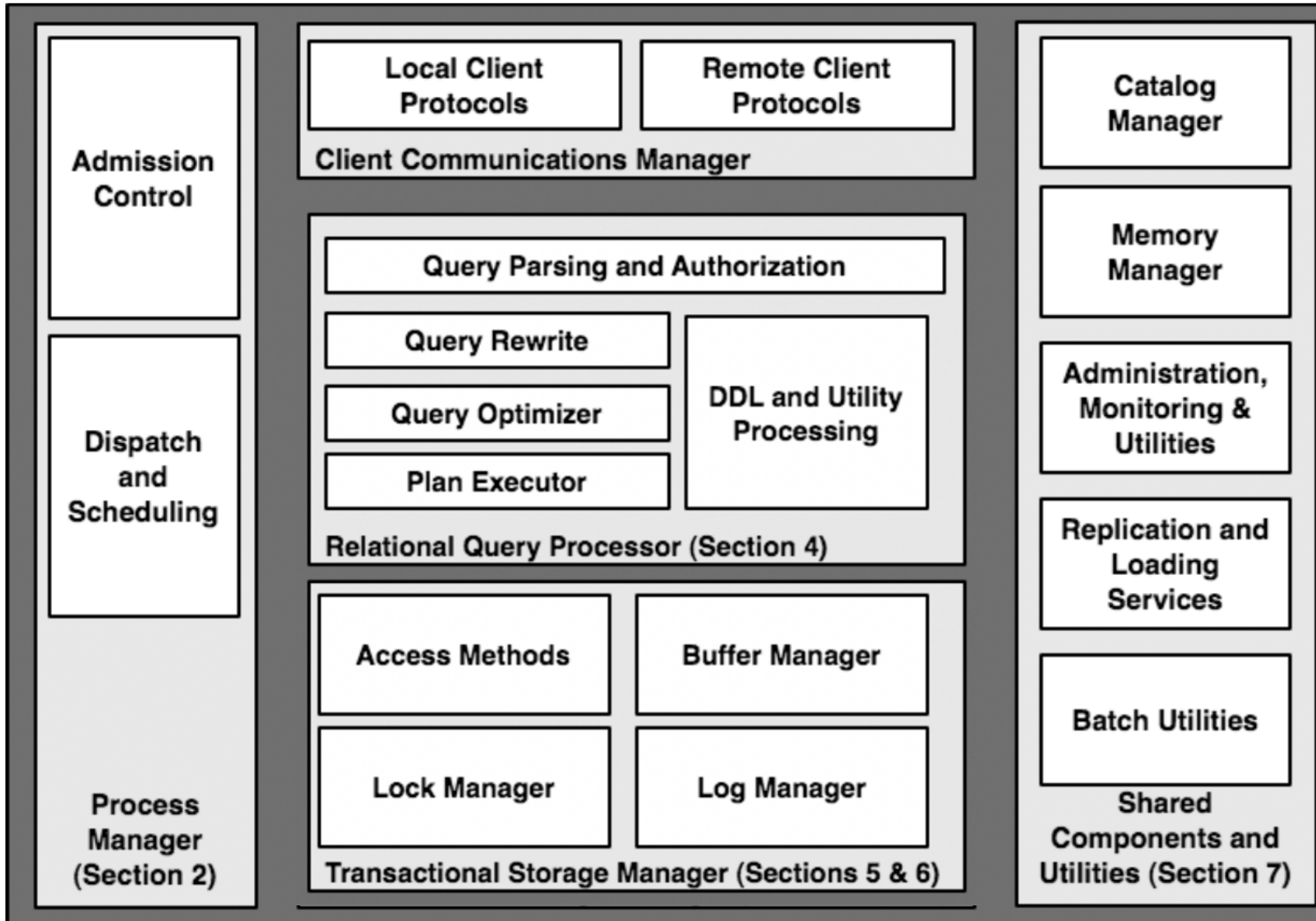
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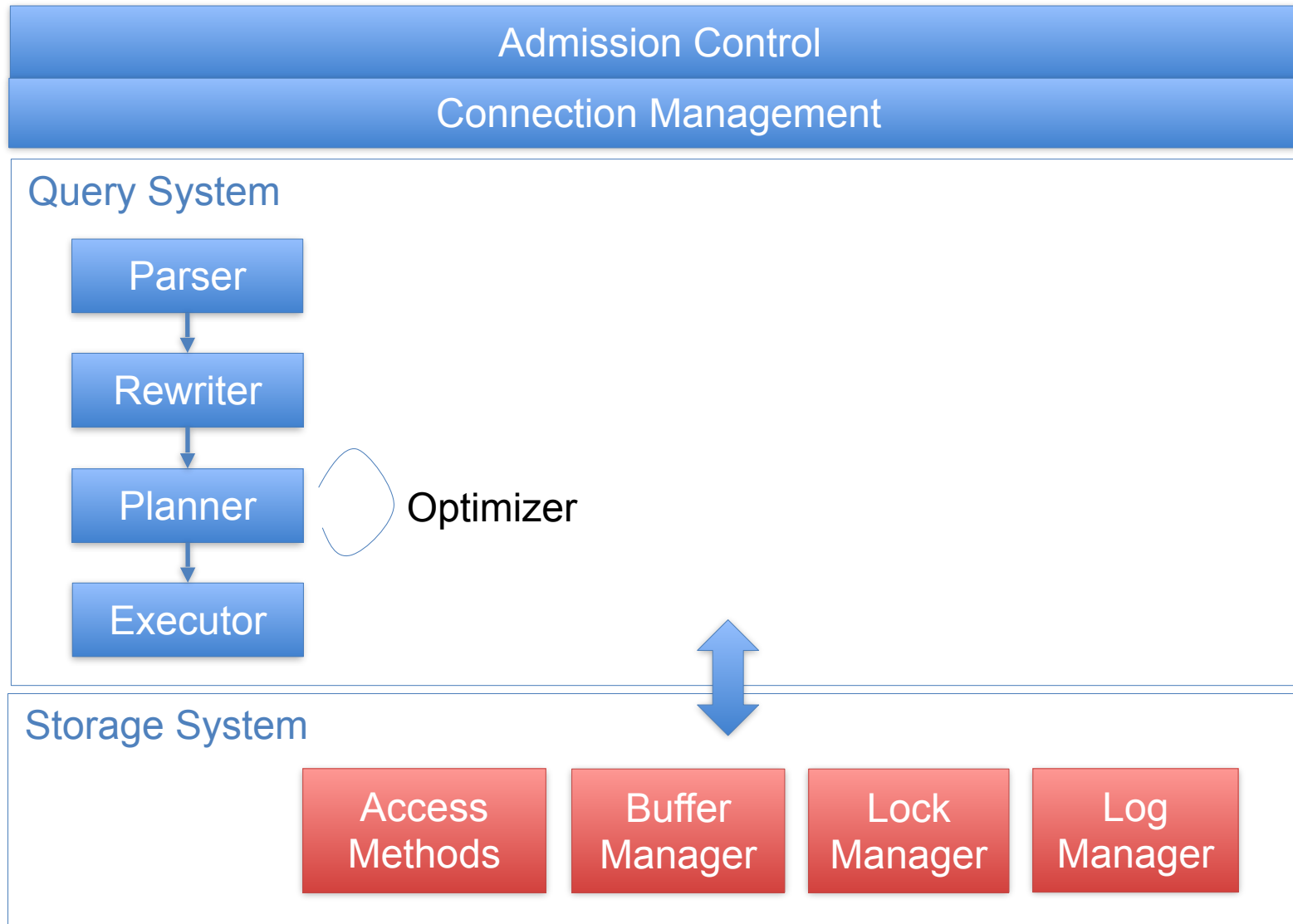
What happens inside?



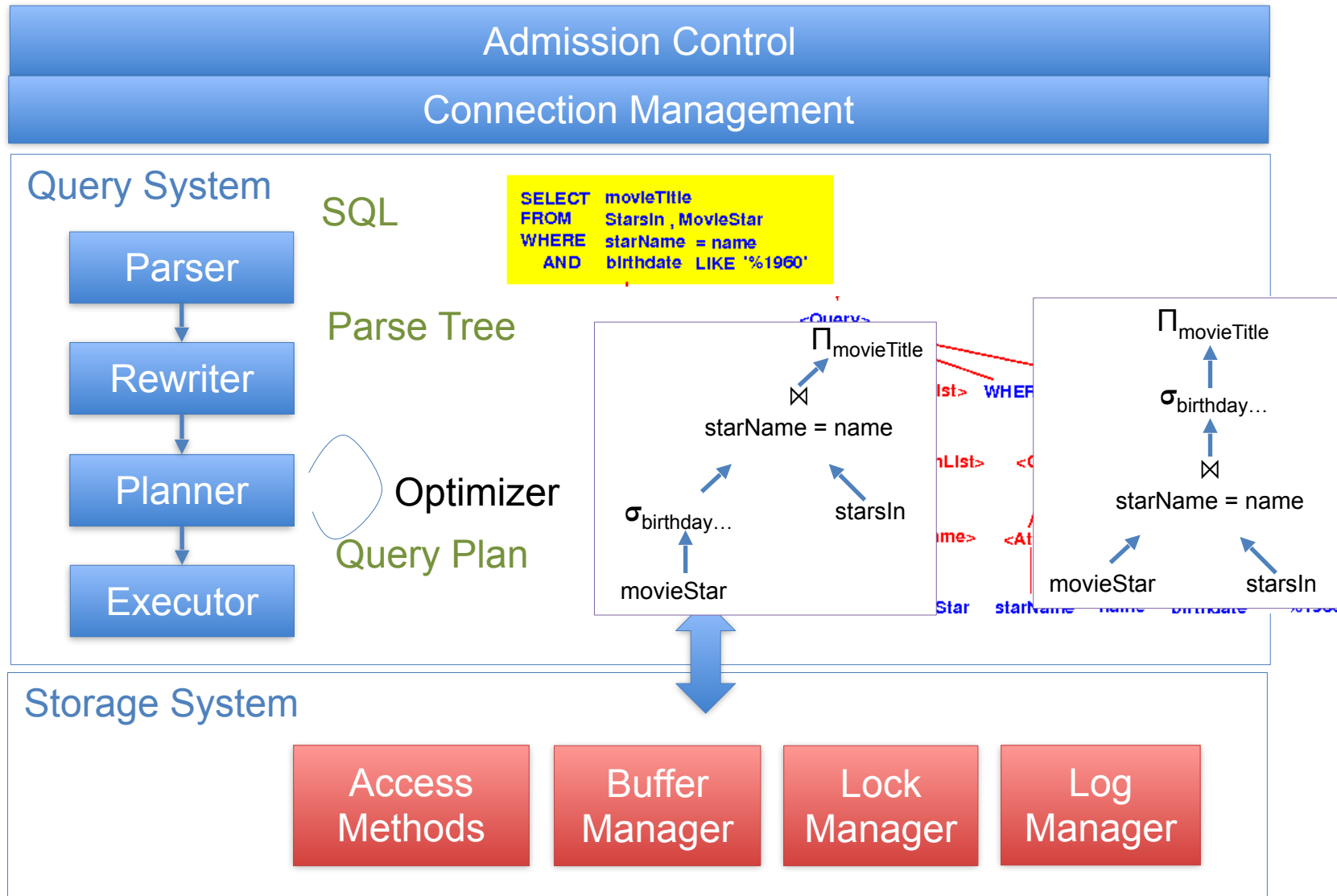
What happens inside?



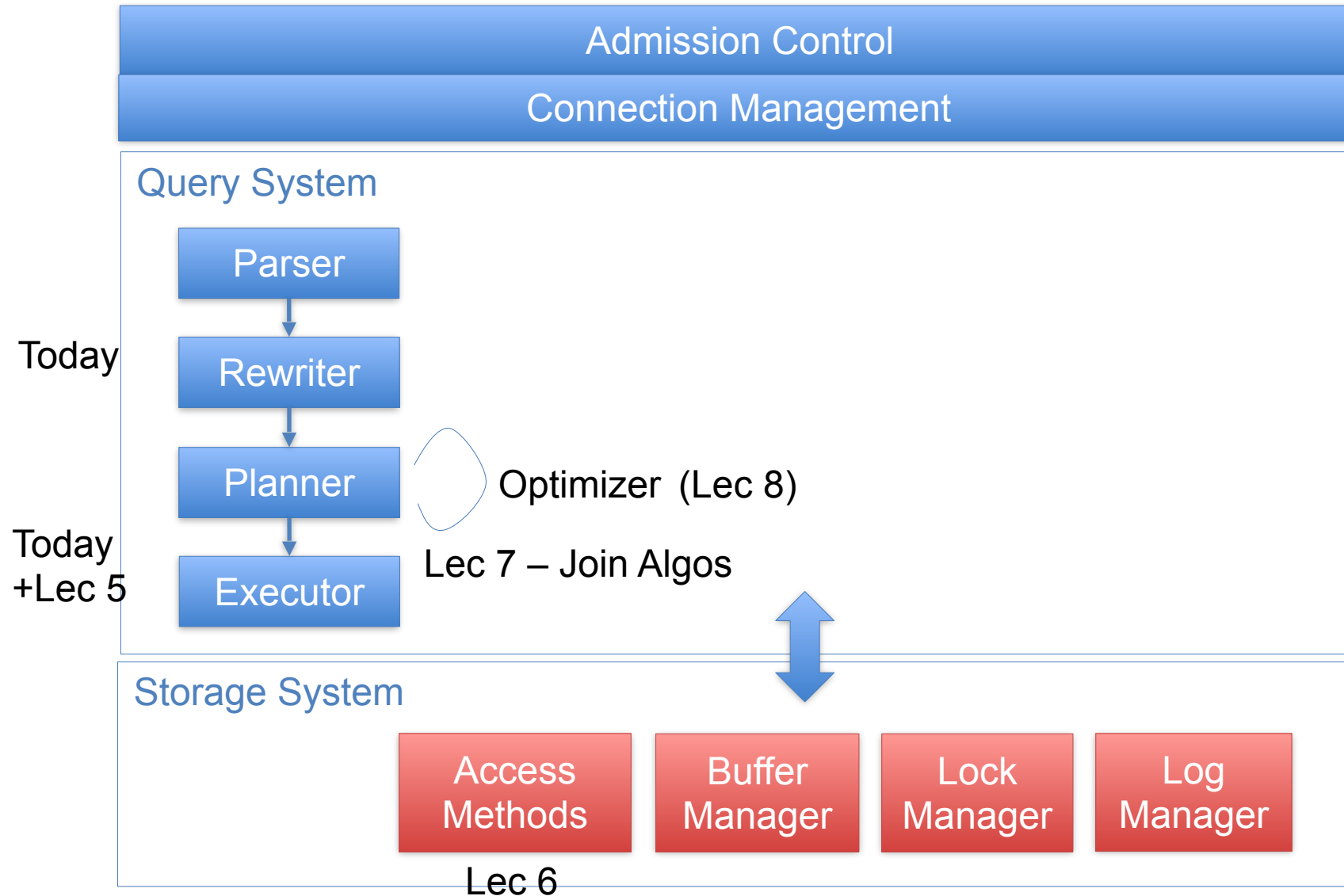
DB Core Components



Flow of a Query



Plan for Next Few Lectures



Query Processing Steps

- Admission Control
- Query Rewriting
- Plan Formulation
- Optimization

Query Processing Steps

- Admission Control
- **Query Rewriting**
- Plan Formulation
- Optimization

Query Rewriting

- View Substitution
- Predicate Transforms
- Subquery Flattening

View Substitution

```
emp : id, sal, age,  
dept
```

```
create view sals as (  
  select dept, avg(sal) as sal  
  from emp  
  group by dept  
)
```

```
select sal from sals where dept =  
'eecs';
```

```
select sal from (  
  select dept, avg(sal) as sal  
  from emp  
  group by dept  
)
```

```
where dept =  
'eecs';
```

Predicate Transforms

- Remove & simplify expressions, improve
- Constant Simplification

`WHERE sal > 1000 + 4000 → WHERE sal > 5000`

- Exploit constraints

`a.did = 10 and a.did = dept.dno`

- Remove redundant expressions

`a.sal > 10k and a.sal > 20k`

Predicate Transforms

- Remove & simplify expressions, improve
- Constant Simplification

`WHERE sal > 1000 + 4000 → WHERE sal > 5000`

- Exploit constraints

`a.did = 10 and a.did = dept.dno and dept.dno = 10`

- Remove redundant expressions

`a.sal > 10k and a.sal > 20k`

Subquery Flattening

- Many Subqueries Can Be Eliminated

```
select sal from (  
  select dept, avg(sal) as sal  
  from emp  
  group by dept  
)where dept = 'eecs';
```



```
select avg(sal)  
  from emp  
  group by dept  
  having dept =  
  'eecs';
```

Can you come up with an example where this is not possible?

Subquery Flattening

- Many Subqueries Can Be Eliminated

```
select sal from (  
  select dept, avg(sal) as sal  
  from emp  
  group by dept  
)where dept = 'eecs';
```



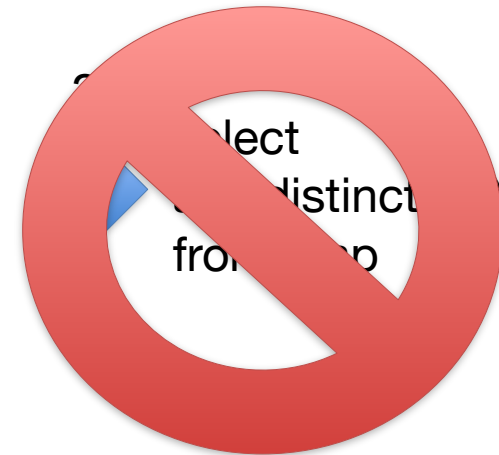
```
select avg(sal)  
  from emp  
  group by dept  
  having dept =  
  'eecs';
```

- Not always possible; consider

```
create view sals as {  
  select distinct dept, sal  
  from emp  
}  
select avg(sal) from sals
```



```
select avg(sal) from (  
  select distinct dept, sal  
  from emp  
)
```



Study Break (Tricky)

Flatten this query (*departments where number of machines is more than number of employees*):

```
SELECT dept.name
FROM dept
WHERE dept.num_machines >=
      (SELECT COUNT(emp.*)
       FROM emp
       WHERE dept.name=emp.dept_name)
```

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Original

```
SELECT dept.name FROM dept
WHERE dept.num_machines >= (SELECT COUNT(emp.*) FROM emp
                             WHERE
                             dept.name=emp.dept_name)
```

```
SELECT dept.name      A
FROM dept d, emp e
WHERE d.name=e.dept_name
      and d.num_machines >=
COUNT(e.*)
GROUP BY d.name, d.num_machines
```

```
SELECT dept.name      B
FROM dept d, emp e
WHERE d.name=e.dept_name
GROUP BY d.name
HAVING COUNT(d.num_machines) >= COUNT(e.*)
```

```
SELECT dept.name      C
FROM dept d, emp e
WHERE d.name=e.dept_name
GROUP BY d.name, d.num_machines
HAVING d.num_machines >= COUNT(e.*)
```

```
SELECT dept.name      D
FROM dept d
LEFT OUTER JOIN emp e
      ON (d.name=e.dept_name)
GROUP BY d.name, d.num_machines
HAVING d.num_machines >= COUNT(e.*)
```


“Query rewrite optimization rules in IBM DB2

universal database”

FROM

WHERE “Rule Engine for Query Transformation in

Starburst and IBM DB2 C/S DBMS “

dept.num_machines

HAVING dept.num_machines >= COUNT(emp.*)

SELECT dept.name

FROM dept

LEFT OUTER JOIN emp ON (dept.name=emp.dept_name)

GROUP BY dept.name,

dept.num_machines

HAVING dept.num_machines >= COUNT(emp.*)

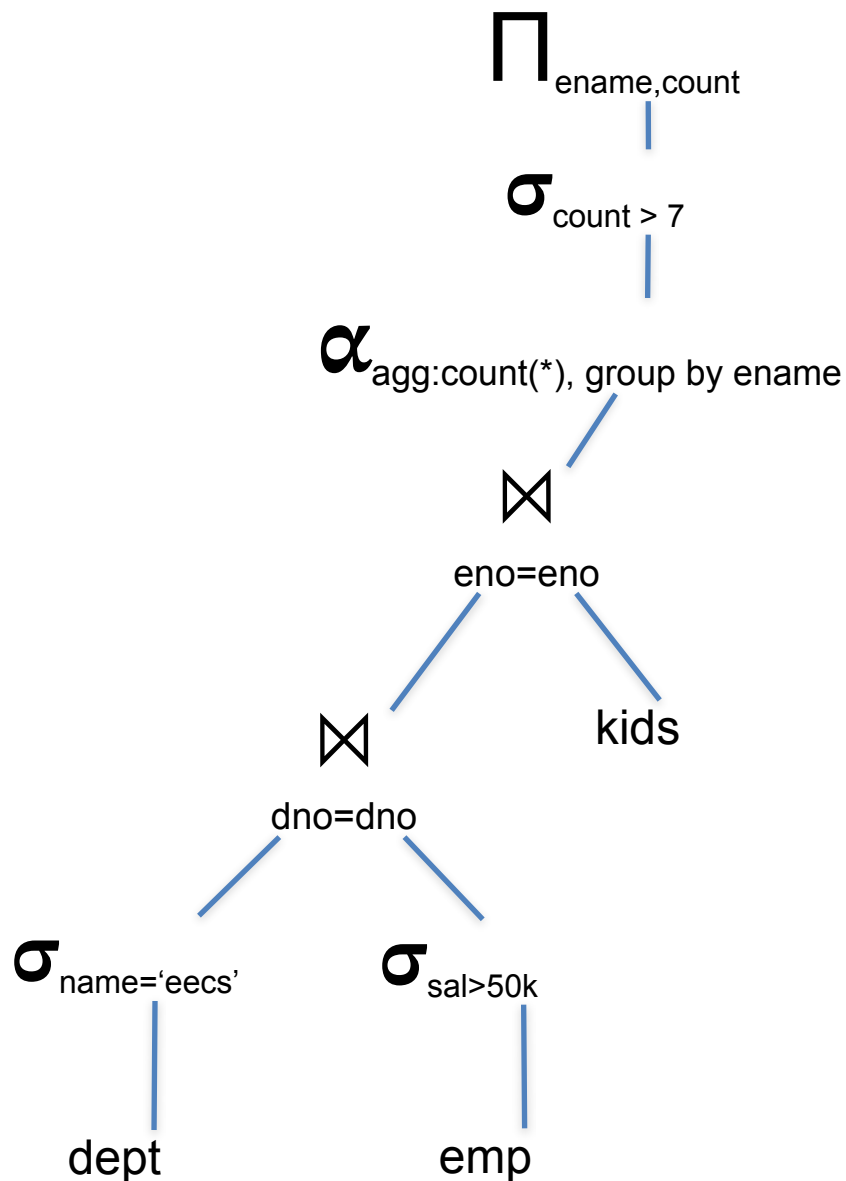
Query Processing Steps

- Admission Control
- Query Rewriting
- **Plan Formulation**
- Optimization

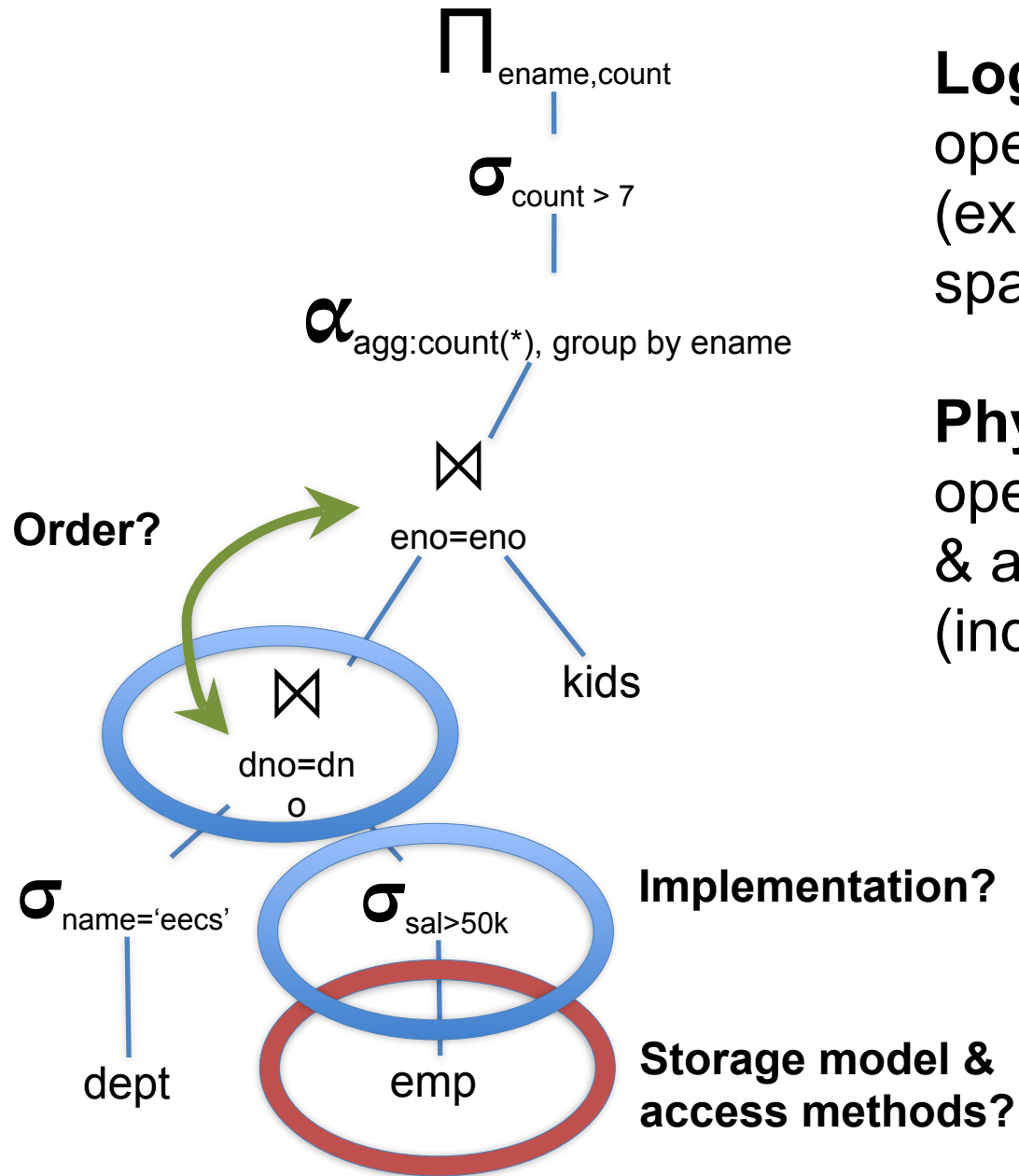
Plan Formulation

emp (eno, ename, sal, dno)
dept (dno, dname, bldg)
kids (kno, eno, kname, bday)

```
SELECT ename, count(*)  
FROM emp, dept, kids  
AND emp.dno=dept.dno  
AND kids.eno=emp.eno  
AND emp.sal > 50000  
AND dept.name = 'eecs'  
GROUP BY ename  
HAVING count(*) > 7
```



Query Optimization



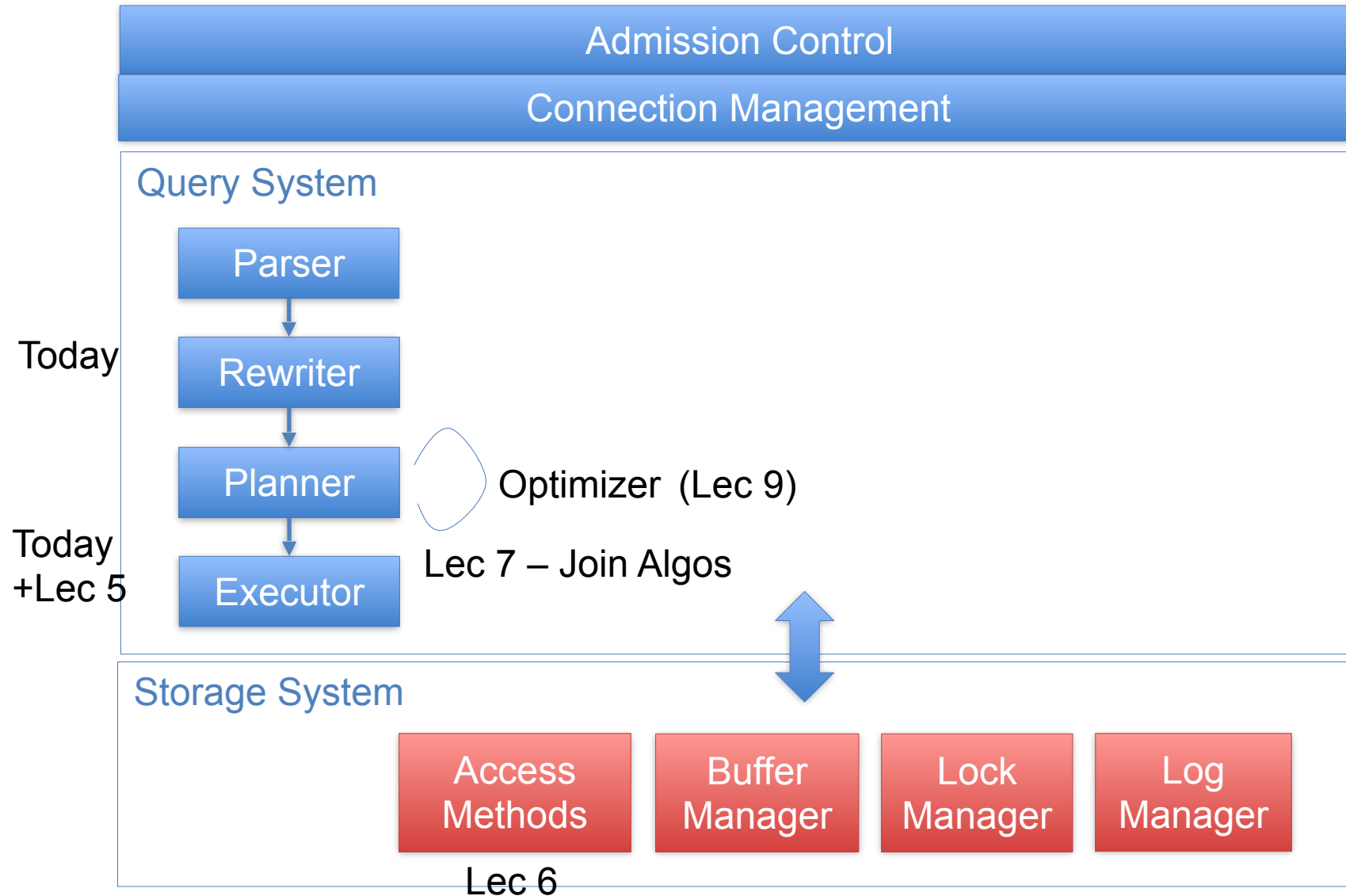
Logical planning:
operator ordering
(exponential search space)

Physical planning:
operator implementation
& access methods
(indexes vs heap files)

Joins and Ordering

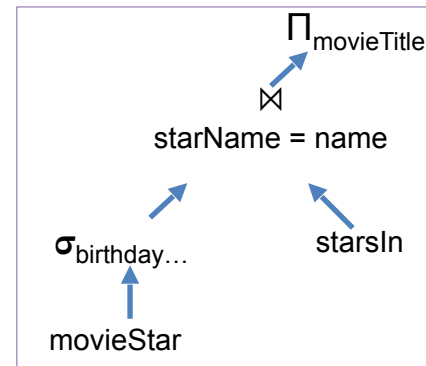
- Consider a nested loop join operator of tables Outer and Inner
- for tuple1 in Outer
 - for tuple2 in Inner
 - if predicate(tuple1, tuple2) then
 - emit join(tuple1, tuple2)
- What if Inner is itself a join result?
- Plans might be “left-deep” or “bushy”

Plan for Next Few Lectures



Query Execution

- Executing a query involves chaining together a series of operators that implement the query
- Operator types:
 - scan from disk/mem Requires a model of data representation
 - filter records
 - join records
 - aggregate records



Physical Layout

- Arrangement of records on disk / in memory
- Disk / memory are linear, tables are 2D

	A	B	C	D
1				
2				
3				

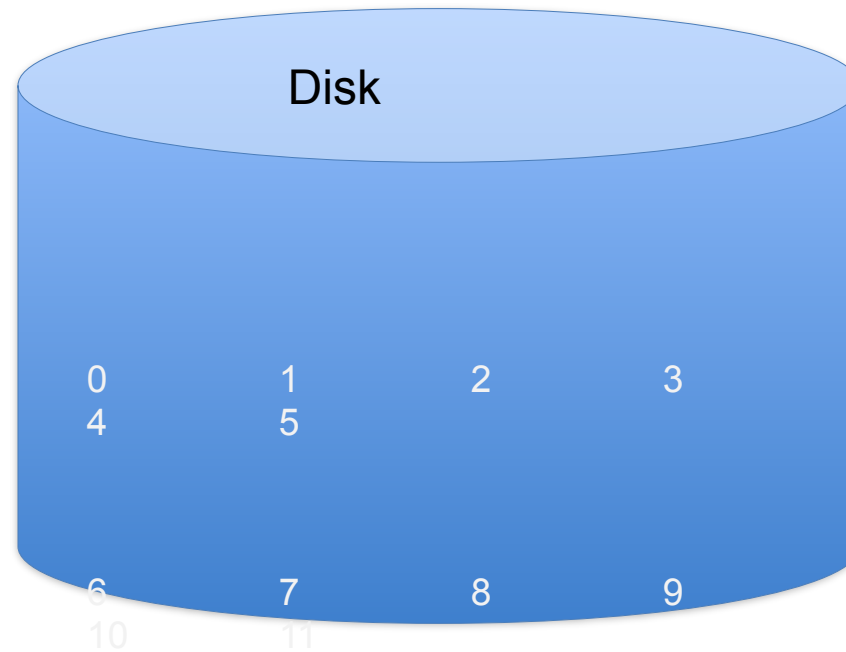
How would you store the table on disk?

Knowing that you must efficiently support inserts, deletes, and that some records are more often read than others?

Physical Layout

- Arrangement of records on disk / in memory
- Disk / memory are linear, tables are 2D
 - "Row Major" - Row at a time

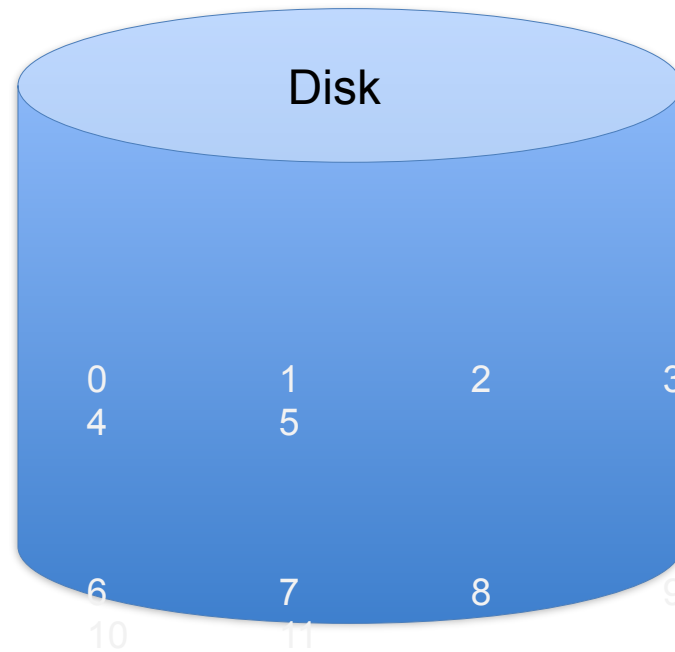
	A	B	C	D
1				
2				
3				



Physical Layout

- Arrangement of records on disk / in memory
- Disk / memory are linear, tables are 2D
 - “Row Major” - Row at a time
 - “Column Major” Column at a time

A	B	C	D

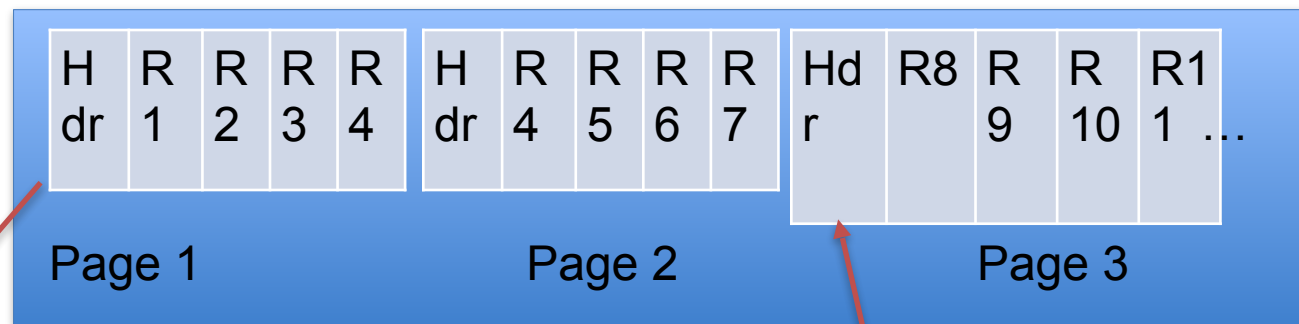


*For now, let's
assume row-
major!*

How would you store records
on disk?

Accessing Data

- Access Method: way to read data from disk
- Heap File: unordered arrangement of records
 - Arranged in pages
 - You read/write/cache data in the granularity of pages.

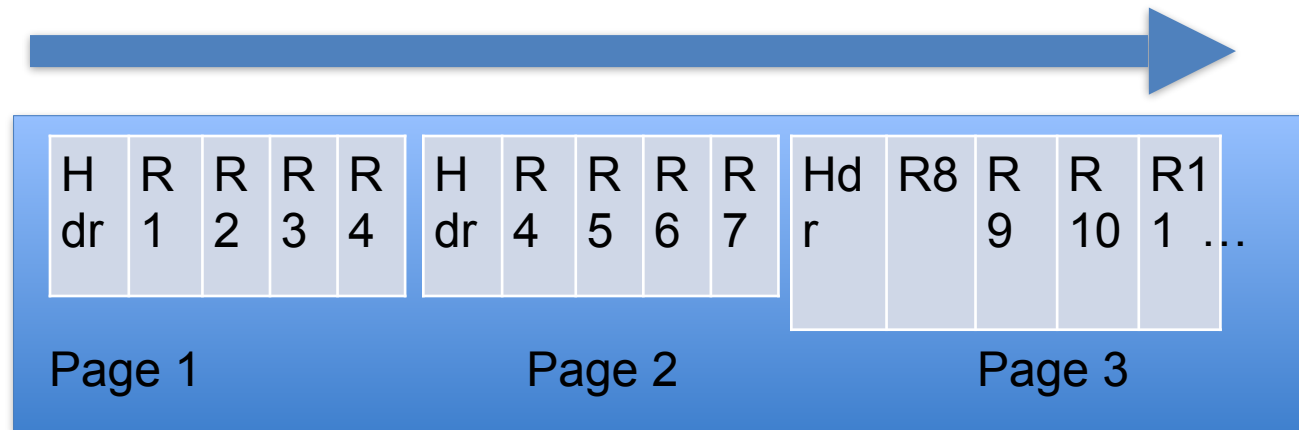


Header: Start offset of each record, which parts of page are occupied, etc

Get Page 3 = Page# * PageSize

Heap Scan

- Read Heap File In Stored Order
 - Even with a predicate, read all records



<https://clicker.mit.edu/6.5830/>

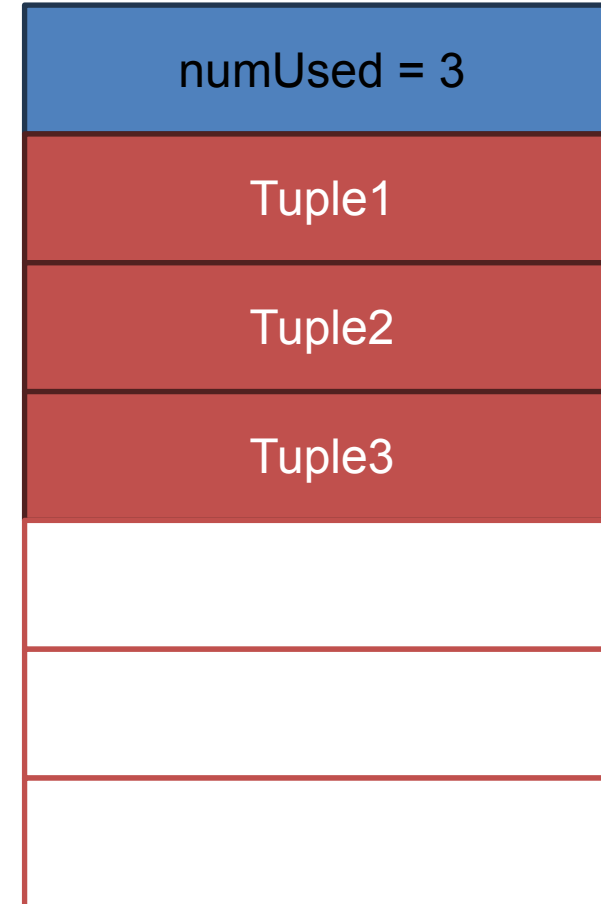
Hardware (e.g., SSDs) and OS (e.g., virtual memory) also use pages. They often are 4KB large.

Why does a database management introduce yet another paging mechanism?

Page designs

Strawman idea: Keep track of tuples in a page?

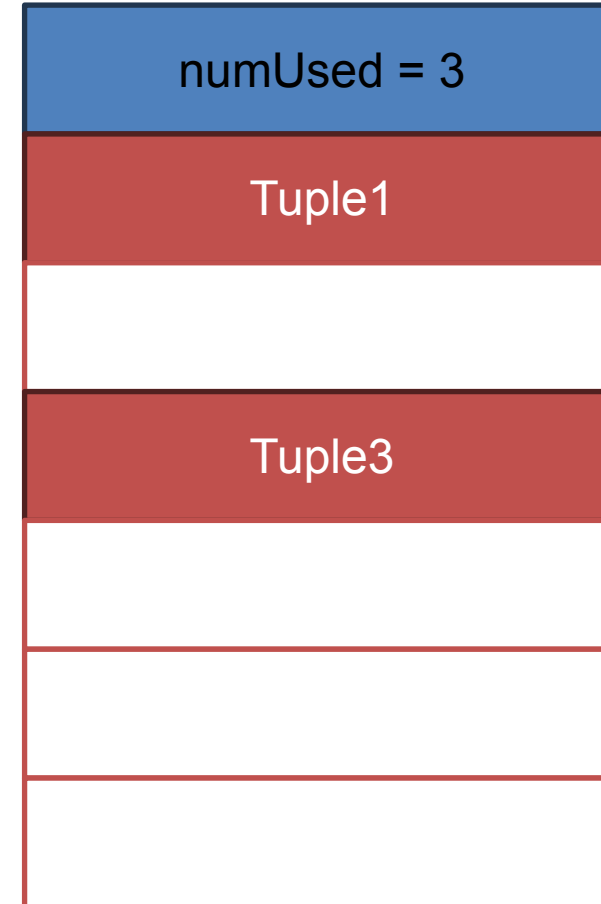
Any problems with this design?



Page designs

Strawman idea: Keep track of tuples in a page?

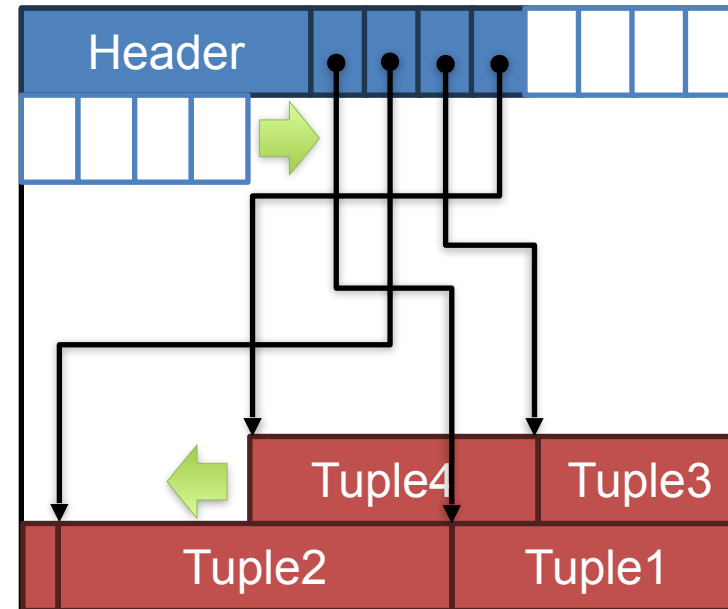
- What happens with deletes?
- What happens with variable length tuples (e.g., variable length strings)?



Slotted pages

Common layout scheme

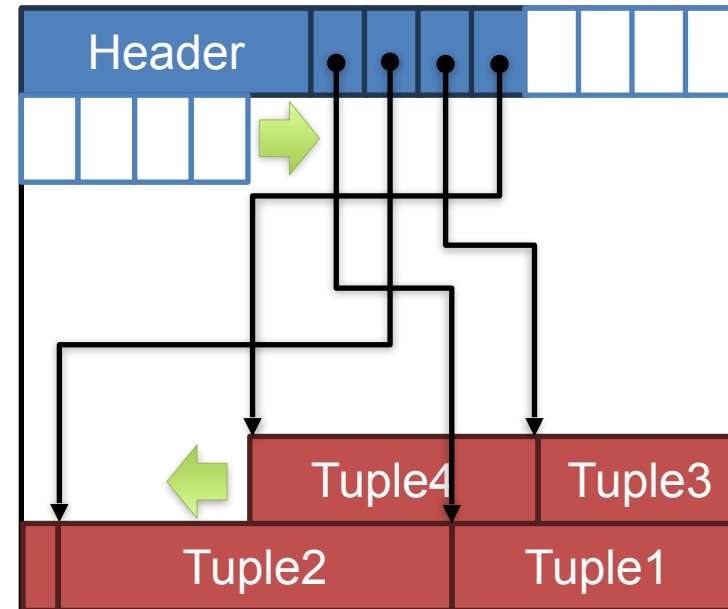
- Slot array maps "slots" to tuples starting position
- The header keeps track of:
 - The # of used slots
 - The offset of the starting location of the last slot used.



Slotted pages

How would you simplify the layout if tuples have a fixed length?

Do you need to store the slot map?



Index

- Index maps from a value or range of values of some attribute to records with that value or values
- Several types of indexes, including trees (most commonly B+Trees) and hash indexes

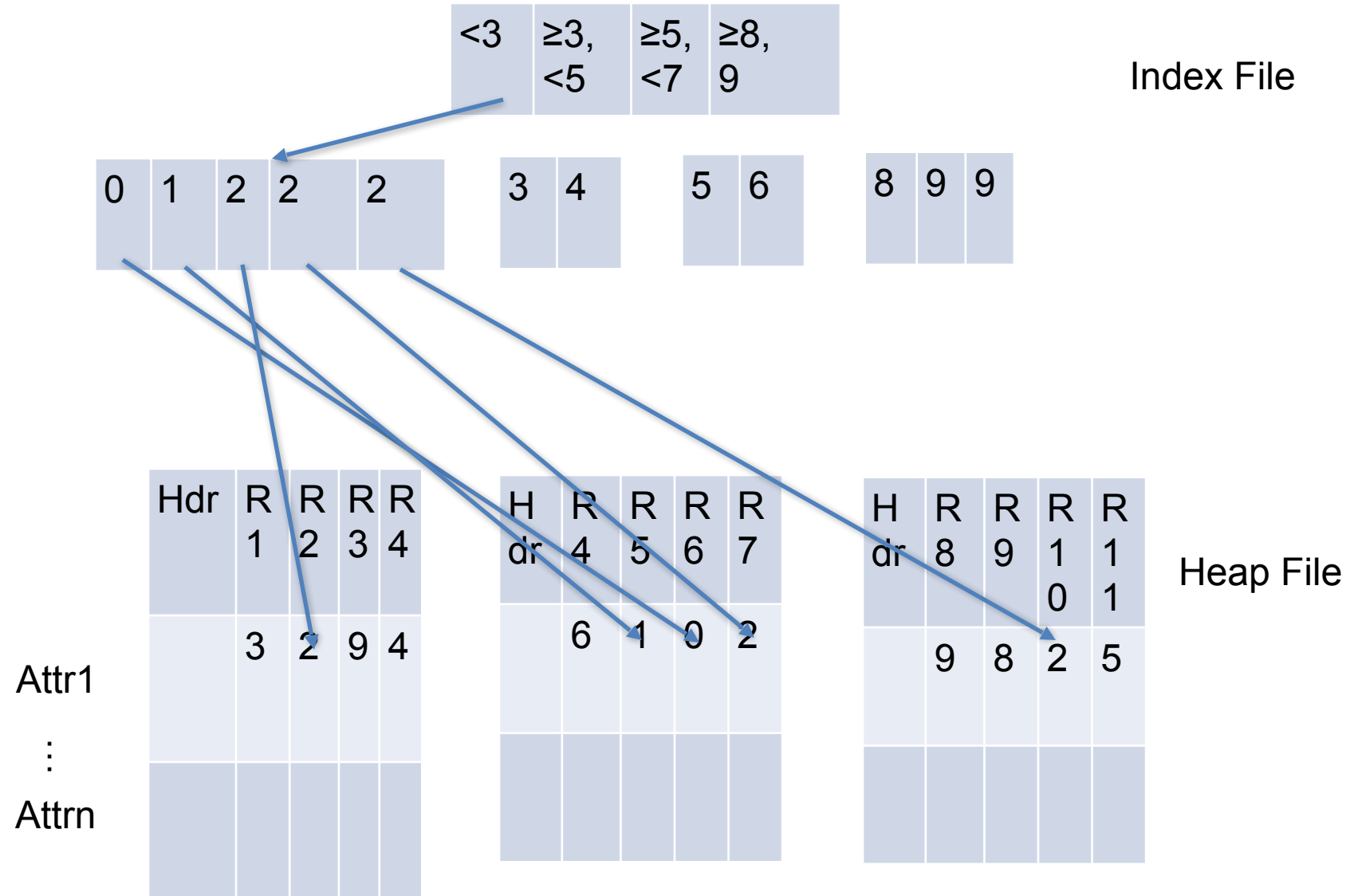
API:

Lookup(value) [?] records

Lookup(v1 .. vn) [?] records

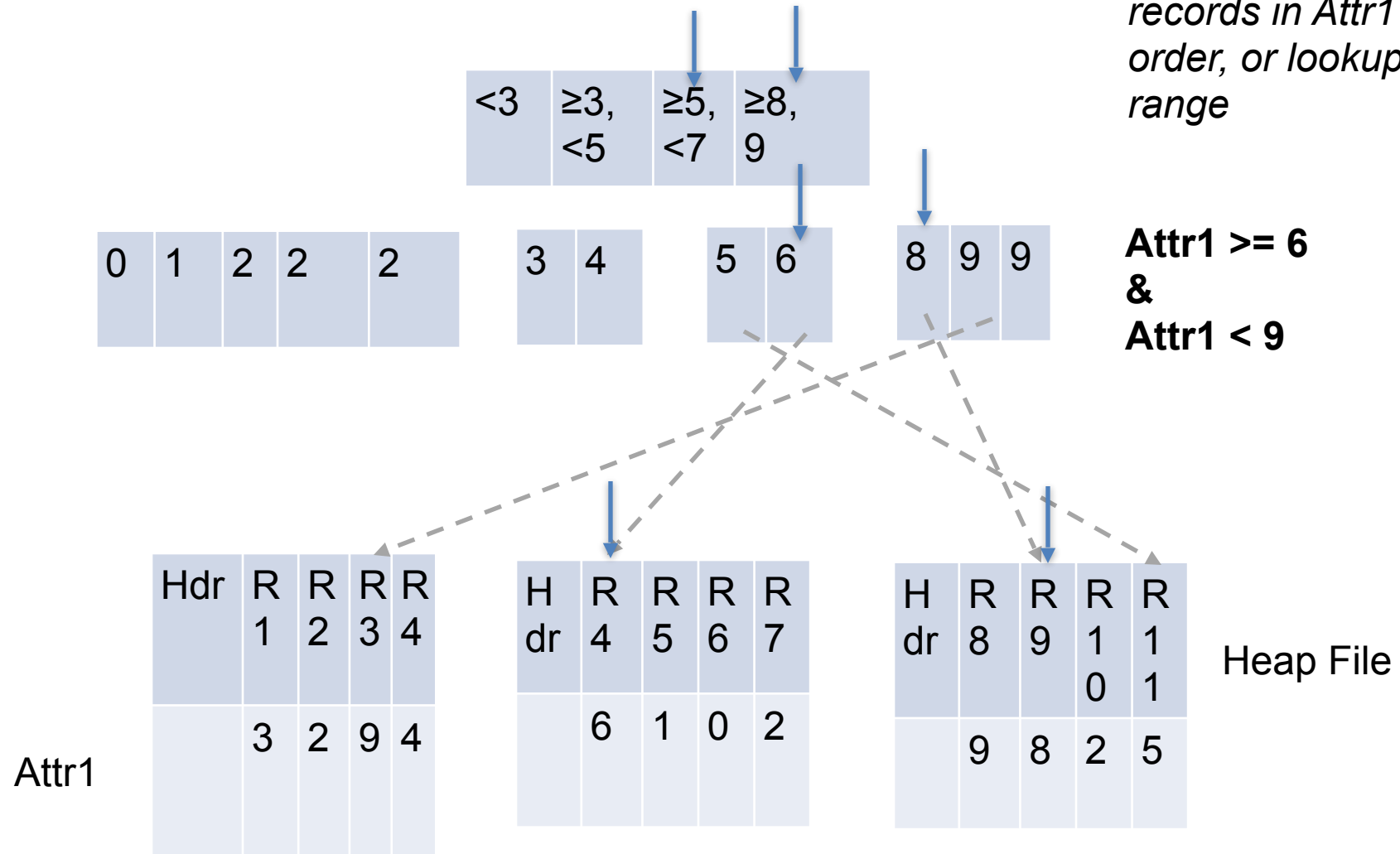
Value is an attribute of the table, called the “key” of the index

Tree Index



Index Scan

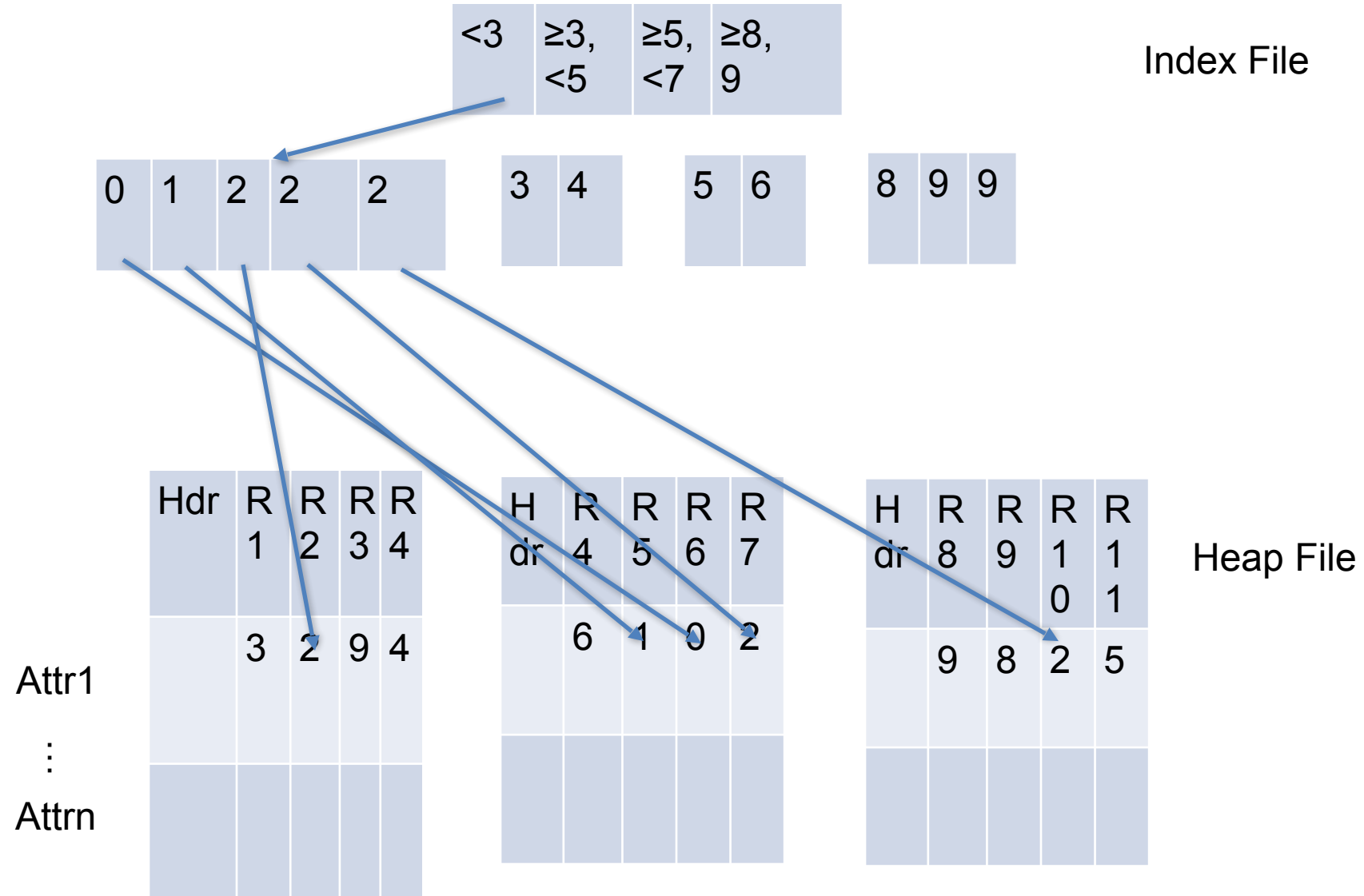
Traverse the records in Attr1 order, or lookup a range



Note random vs sequential access!

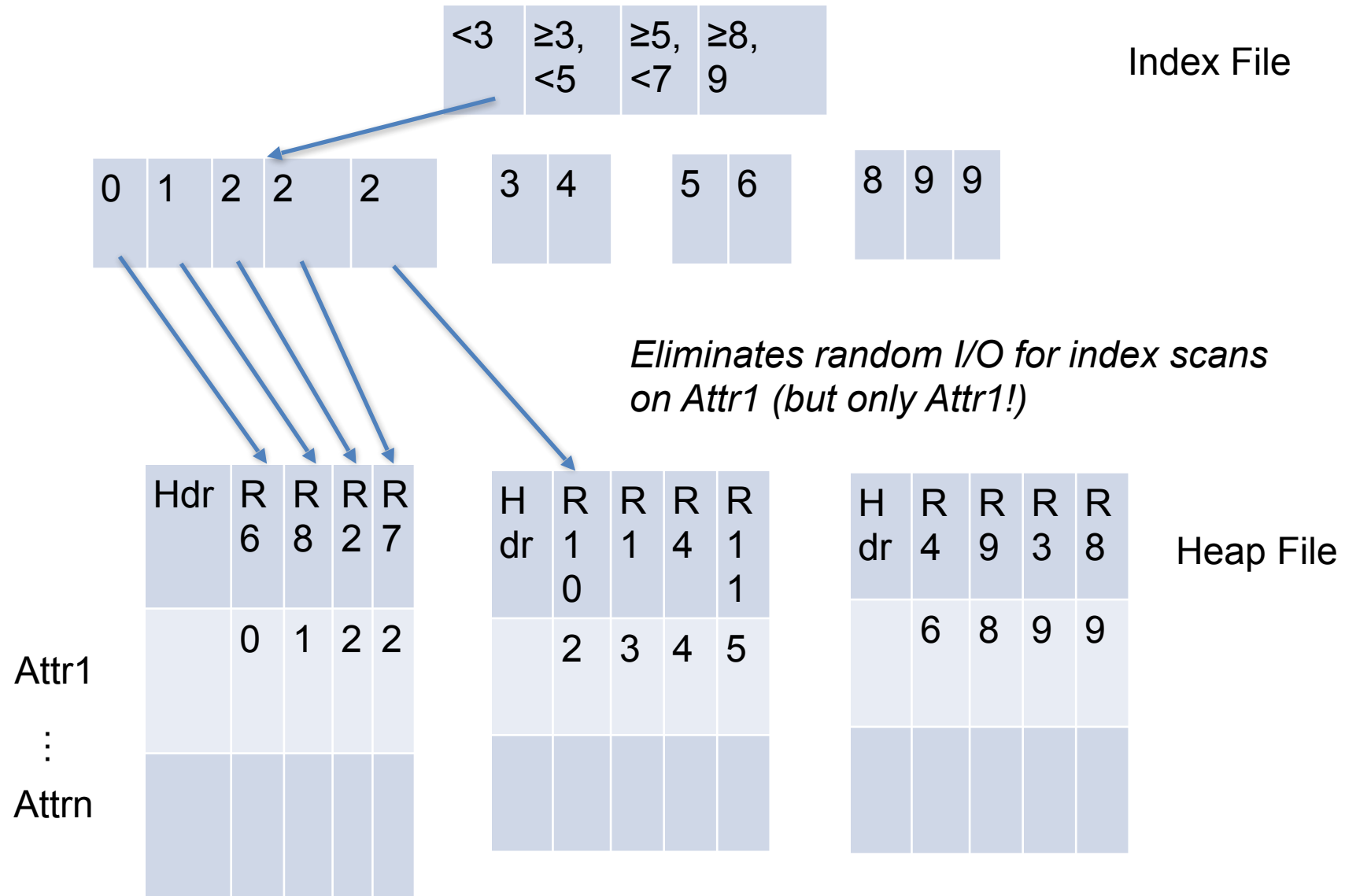
Clustered Index

- Order pages on disk in index order



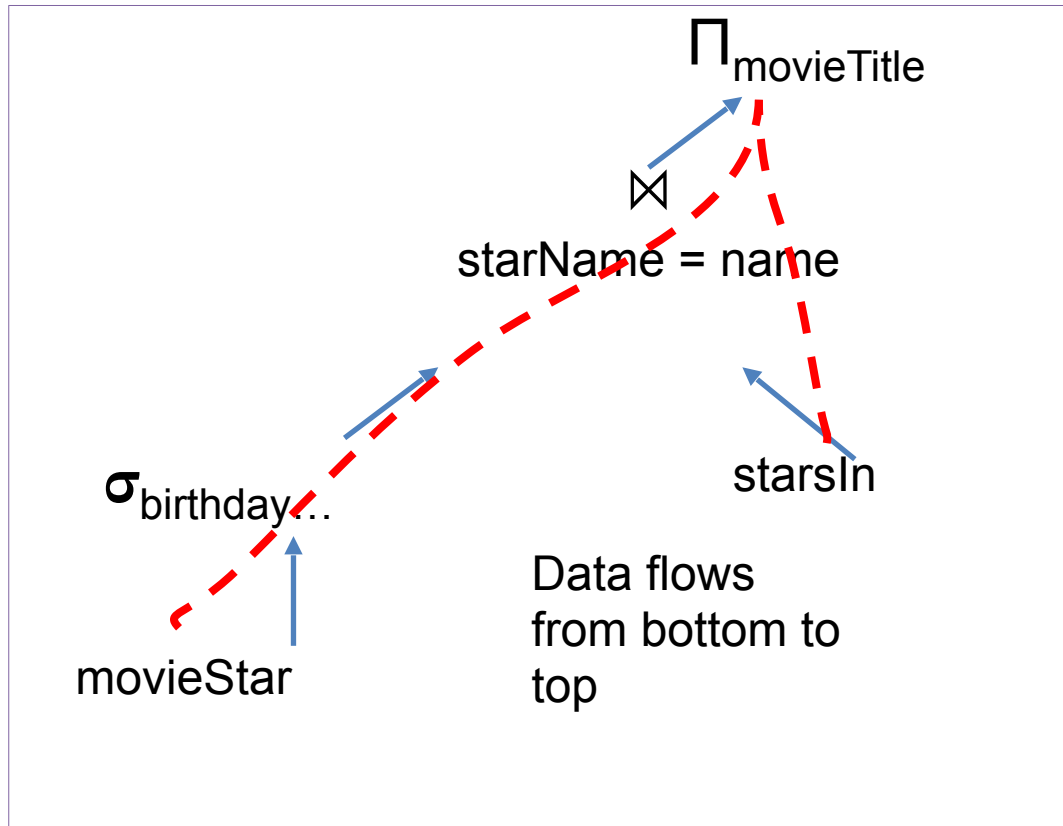
Clustered Index

- Order pages on disk in index order



Let's take a short break

Connecting Operators: Iterator Model



Each operator implements a simple iterator interface:

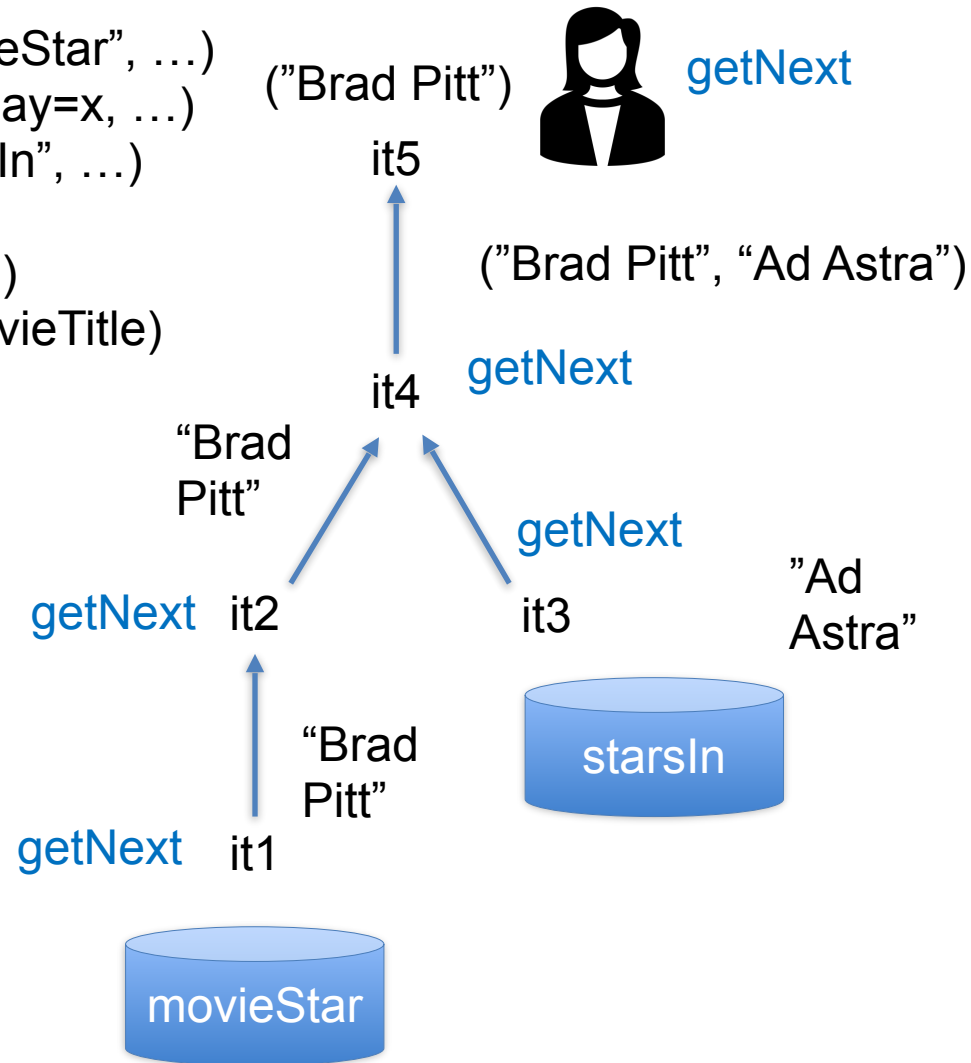
```
open(params)
getNext() [?] record
close()
```

Any iterator can compose with any other iterator

```
it1 = Scan.open("movieStar", ...)
it2 = Filter.open(it1, bday=x, ...)
it3 = Scan.open("starsIn", ...)
it4 = Join.open(it2, it3,
               starName=name)
it5 = Proj.open(it4, movieTitle)
```

Iterator Model

```
it1 = Scan.open("movieStar", ...)  
it2 = Filter.open(it1, bday=x, ...)  
it3 = Scan.open("starsIn", ...)  
it4 = Join.open(it2, it3,  
               starName=name)  
it5 = Proj.open(it4, movieTitle)
```



Lab1: What is GoDB?

A basic database system implemented in Go

- A simple storage layer, based on Heap Files (Lab 1)
- A buffer pool for caching pages and implementation page-level locking for transactions (Labs 1-3)
- A set of operators (Labs 1 & 2): Scan, Filter, Join, Aggregate, Order By, Project ...
- A SQL parser (Lab 2), which we implement for you
- Simple transactions (Lab 3)
- Previous years we included recovery, B+Trees, and query optimization, but have reduced the labs because this is our first year in Go.
 - Students in 6.5831 may implement one of these for their final project

What is GoDB Missing?

- Focus is on a simple architecture rather than a complete or high-performance implementation
- Only supports fixed length records with strings and ints
- Only supports sequential scan access methods
- No NULLs
- Uses a simple iterator method, so not super efficient

GoDB Storage Layout

- Each table is stored in one file on disk, called a *heap file*
 - Heap files are an unordered collections of records
 - Only way to access records from a heap file is to scan from beginning to end: “Sequential scan” via an iterator
- Each heap file consists of a number of fixed size heap pages
- Each heap page contains a number of fixed size tuples
- Methods in `heap_file.go` are used to access the contents of the heap file

Tuples and Tuple Descriptors

- In a given heap file, each tuple has the same layout
- Layout is specified by a TupleDesc object, which specifies the field names and types in the tuple

```
// FieldType is the type of a field in a tuple, e.g., its name, table, and [godb.DBType].
// TableQualifier may or may not be an empty string, depending on whether the table
// was specified in the query
type FieldType struct {
    Fname string
    TableQualifier string
    Ftype DBType
}

// TupleDesc is "type" of the tuple, e.g., the field names and types
type TupleDesc struct {
    Fields []FieldType
}
```

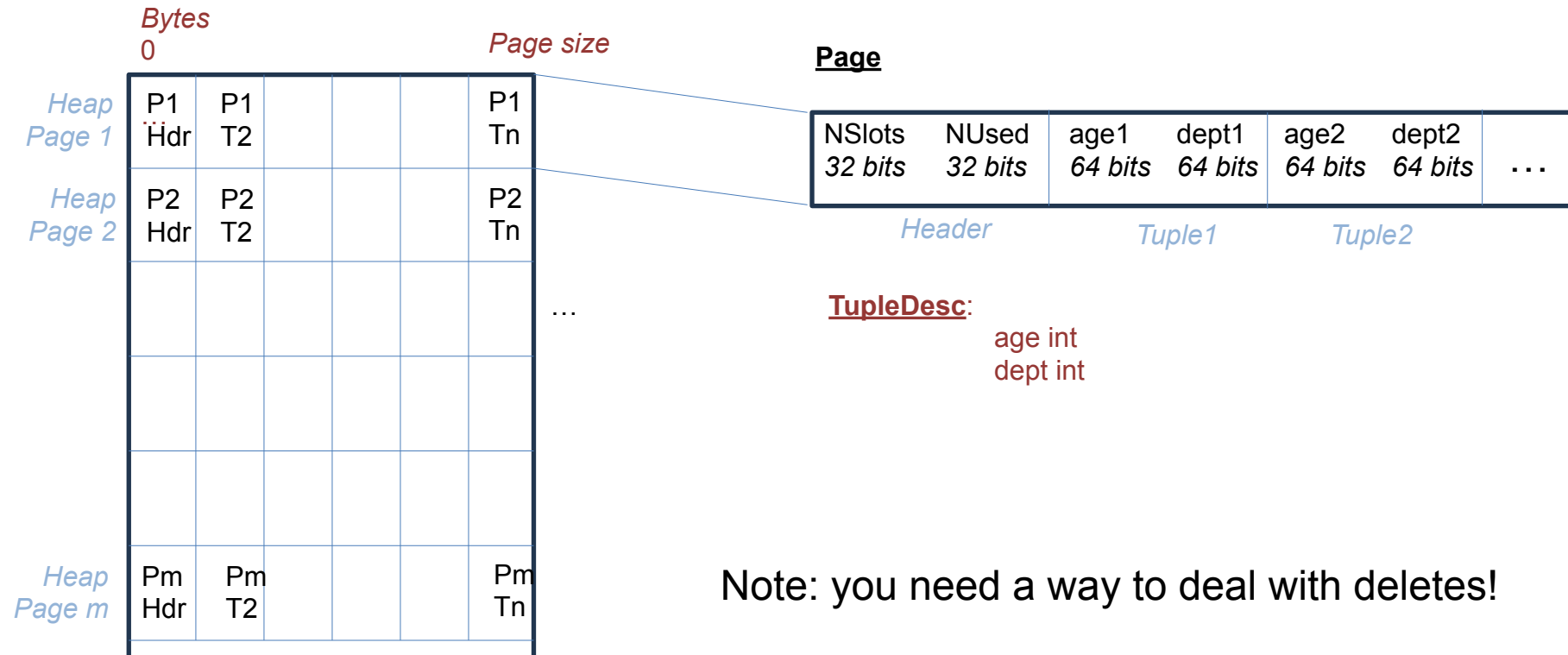
Tuples and Tuple Descriptors (cont.)

- Tuple objects contain the values of each record in Fields
- Field is an interface, implemented by IntField and StringField
- All ints are 64 bits; all string are StringLength characters, padded with zeros

```
// Tuple represents the contents of a tuple read from a database
// It includes the tuple descriptor, and the value of the fields
type Tuple struct {
    Desc TupleDesc
    Fields []DBValue
    Rid recordID //used to track the page and position this page was read from
}
```

Storage Layout Diagram

HeapFile (table1)



Note: you need a way to deal with deletes!

Buffer Pool

- Buffer pool is an in-memory cache of pages
- Allows GoDB to control how much memory is used and support tables larger than memory
- For transactions, will be responsible for implementing page-level locking and two-phase commit (not until lab 3)

- All iterators and operators should use the buffer pool `GetPage` method to access pages from heap files
- Only the heap file `readPage` method directly reads data from disk

Iterators

- Each database operator (filter, project, join, etc) implements an *Iterator*

```
type Operator interface {  
    Descriptor() *TupleDesc  
    Iterator(tid TransactionID) (func() (*Tuple, error), error)  
}
```

- Iterator() returns a function that iterates through the operator's records
- Most operators take a child operator as a part of their constructor

```
func NewIntFilter(constExpr Expr,  
                 op BoolOp, field Expr, child Operator) (*Filter[int64], error) { ... }
```

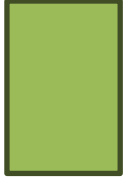
- Heap file Iterator iterates through pages on disk; other operators iterate through their child tuples
 - E.g., filter iterates through child tuples, applied the filter to them, and returns satisfying tuples

Iterator Implementation

- Returns a function that when called returns the next tuple
- Needs to keep state of where it was in its child

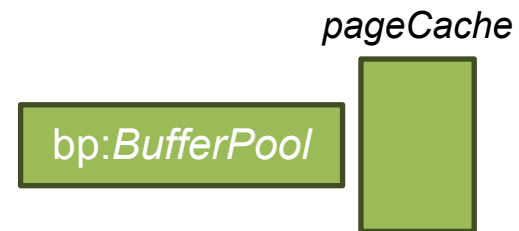
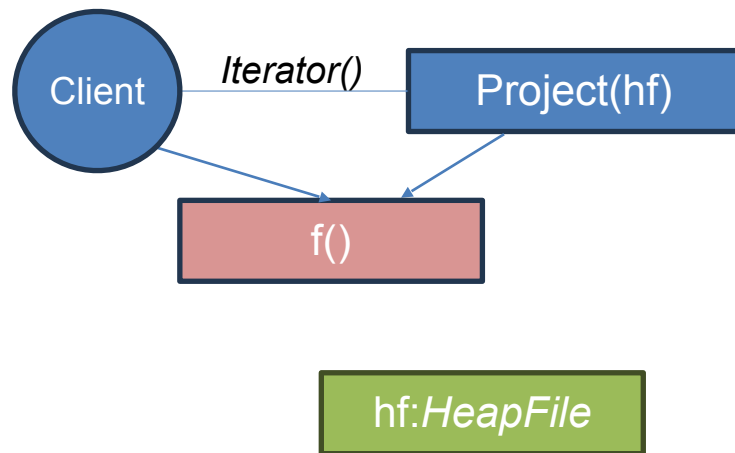
```
func (f *Filter[T]) Iterator(tid TransactionID) (func() (*Tuple, error), error) {  
  
    childIter, _ := f.child.Iterator(tid) //childIter is current iterator state  
  
    ...  
    return func() (*Tuple, error) {  
        for {  
            // get child tuple from childIter  
            // get tuple fields (e.g., using EvalExpr)  
            // apply predicate  
            // if matches, return tuple  
            // else go onto next tuple  
  
        }, _  
    }  
}
```

Exam

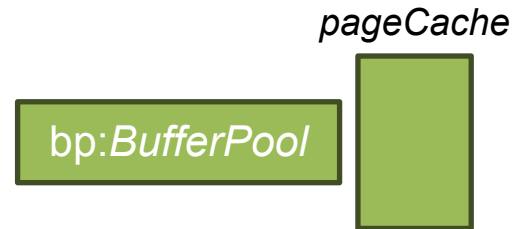
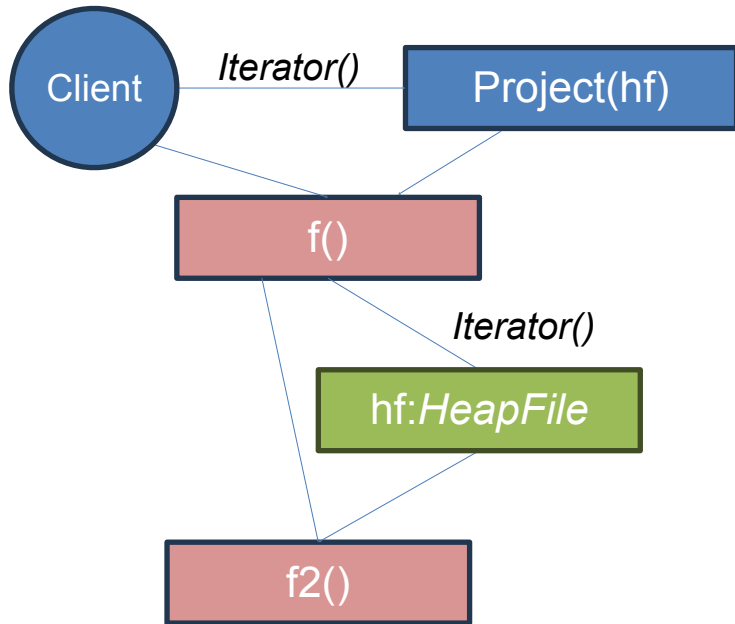


pageCache

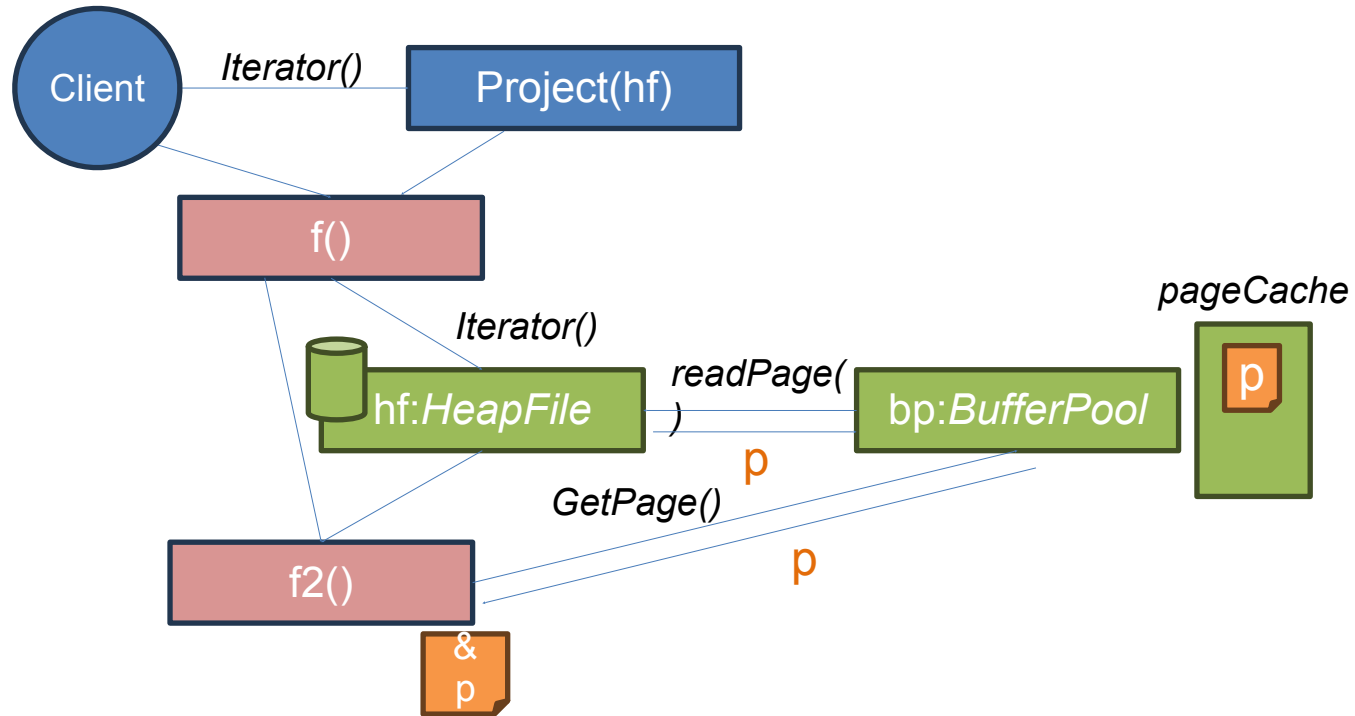
Example



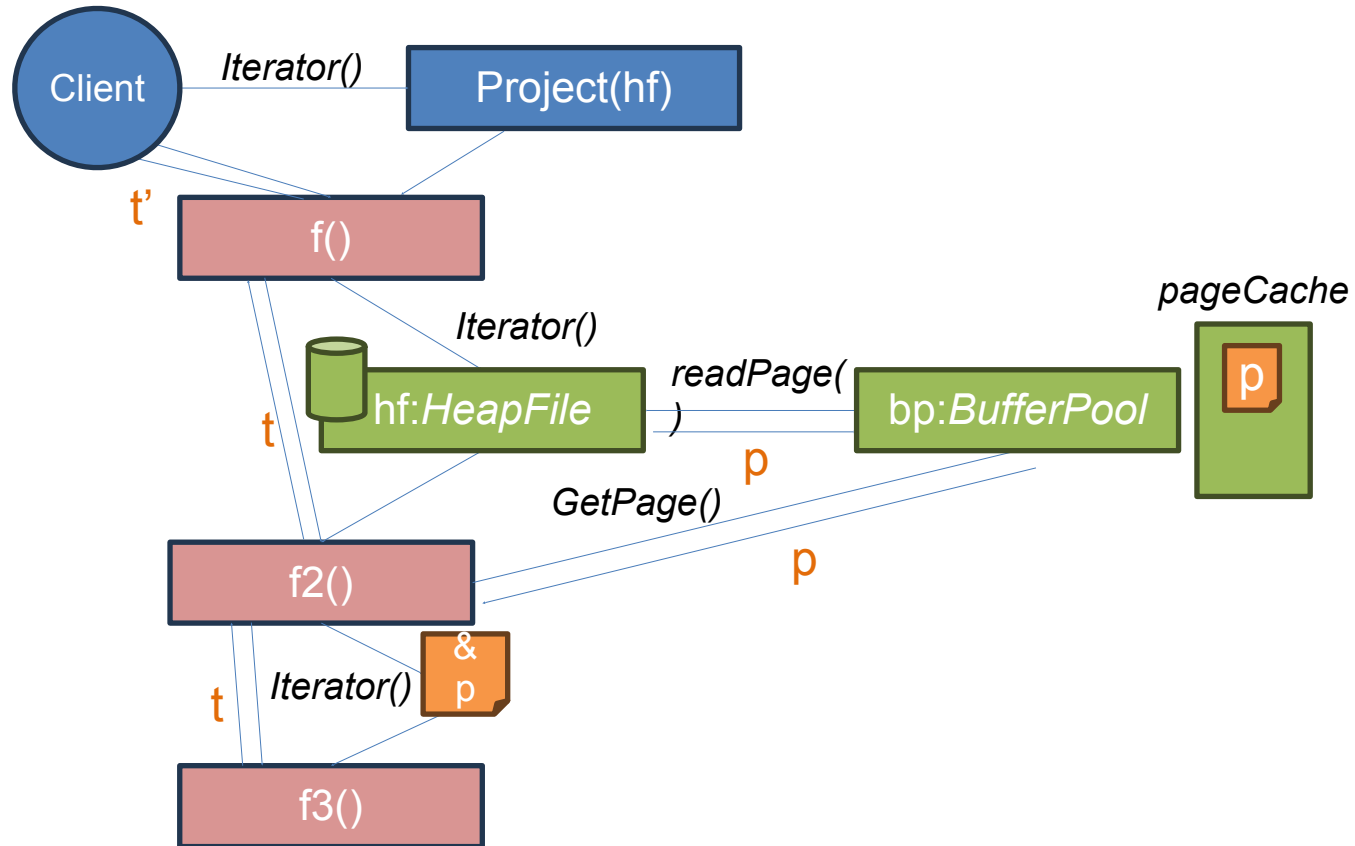
Example



Example



Example



Deleting Records and Rids

- Consider a query like:

DELETE FROM x WHERE f > 10

This is translated into a plan like



Q: How does the delete operator know which records to delete?

A: Each record from the HeapFile is annotated with a *record id* that is used to identify the position of the record in the heap file to be deleted

Deleting Records and Rids

```
// Remove the provided tuple from the HeapFile. This method should use the
// [Tuple.Rid] field of t to determine which tuple to remove.
// This method is only called with tuples that are read from storage via the
// [Iterator] method, so you can so you can supply the value of the Rid
// for tuples as they are read via [Iterator]. Note that Rid is an empty interface,
// so you can supply any object you wish. You will likely want to identify the
// heap page and slot within the page that the tuple came from.
func (f *HeapFile) deleteTuple(t *Tuple, tid TransactionID) error {
```

- deleteTuple will be called by the delete operator
- Using the t.Rid object, you can clear out the position in the heap file containing the record
- Your heapfile implementation supplies the Rid in the iterator, and so you can identify this position however you like
- A standard Rid implementation is a page number and a slot within the page
 - Recall that all pages have the same number of slots

```

func computeFieldSum(fileName string, td TupleDesc, sumField string
) (int, error) {

    //Create buffer pool
    bp := NewBufferPool(10)

    hf, err := NewHeapFile("myfile.dat", &td, bp)
    ...
    err = hf.LoadFromCSV(CSVfile, true, ",", false)

    //find the column
    fieldNo, err := findFieldInTd(FieldType{sumField, "", IntType}, &td)

    //Start a transaction -> we will do the implementation in another lab
    tid := NewTID()
    bp.BeginTransaction(tid)
    iter, err := hf.Iterator(tid)

    //Iterate through the tuples and sum them up.
    sum := 0
    for {
        tup, err := iter()
        f := tup.Fields[fieldNo].(IntField)
        sum += int(f.Value)
    }

    bp.CommitTransaction() //commit transaction
    return sum, nil //return the value
}

```

Have Fun!



- Start early
- Let us know what you find confusing on Piazza!