Please use Piazza: https://piazza.com/class/m06qshnnxi85if

http://dsg.csail.mit.edu/6.5830/

6.5830/6.5831 Introduction to Databases

6.5830 Lecture 1- 9/4/2024 Mike Cafarella, Tim Kraska <u>michjc@csail.mit.edu</u>, kraska@csail.mit.edu

Sam Machden



Tim Kraska





Mark Jabbour



Sylvia Ziyu Zhang



Michael Cafarella



Tim Kraska





Mark Jabbour



Sylvia Ziyu Zhang

Michael Cafarella



Tim Kraska





Mark Jabbour



Sylvia Ziyu Zhang



Ferdi Ziniu Kossmann

Wu

Administrivia

http://dsg.csail.mit.edu/6.5830, Email: <u>6.5830-staff@mit.edu</u> Ask questions on Piazza! Use sign up link on website. Lecturers:

Mike Cafarella (<u>michjc@mit.edu</u>) Tim Kraska (<u>kraska@mit.edu</u>)

<u> TAs:</u>

Mark Jabbour (<u>mjabbour@mit.edu</u>) Ferdi Kossmann (<u>kossmann@mit.edu</u>) Ziniu Wu (<u>ziniuw@mit.edu</u>) Sylvia Zhang (<u>sylziyuz@mit.edu</u>)

Office hours: see website Note generative AI policy; 5 Late Days

Textbooks

• Readings in Database Systems

<u>http://www.redbook.io</u>

 Rest of readings will be drawn from literature (research papers and web pages)

What is a Database?

- Structured Data Collection
 - Records
 - Relationships
- This class: Database Management Systems (DBMSs)

Software systems for storing and querying databases

The modern cloud data mesh



Commercial Systems (even more if you include open-source



Source: mattturck.com

6.5830/1 Concepts

• Data modeling / layout

Quiz 1

- Declarative querying
 - Query processing
 - Algorithms for accessing and manipulating data
- Consistency / Transactions ("ACID")
- "Big Data" scaling to massive volumes, many machines

Two class flavors

6.5831 is an undergraduate class designed to satisfy the **AUS requirement** in the EECS curriculum (instead of 6.1800). The class does **not** fulfill the CI-M requirement.

6.5830 is a Grad-H class. It counts as an engineering concentration (EC) subject in Systems. For Area II Ph.D. students in EECS, it satisfies the Systems TQE requirement and the AUS requirement. 6.5830 requires the completion of a final open-ended research project

6.5830/1 Assignments

- 4 Labs: GoDB
- 3 Problem Sets
 - SQL
 - Quiz Prep 1
 - Quiz Prep 2
- 2 Quizzes
- 6.5830: **Final Project** open ended research project of your choice
- 6.5831: Final Project: Extend GoDB OR open-ended research

6.5830/1 Grading

6.5830

- Assignment (Problem Sets and Labs): 35% total
 - PSET 1: 3.33%
 - PSET 2: 5.00%
 - PSET 3: 5.00%
 - Lab 0: 1.66%
 - Lab 1: 6.66%
 - Lab 2: 6.66%
 - Lab 3: 6.66%
- Quizzes: 15% each
- Course Project: 30%
- Class Participation: 5% (clicker, piazza, and general participation during class)

6.5831

- Assignments (Problem Sets and Labs): 65% total
 - PSET 1: 5%
 - PSET 2: 7.5%
 - PSET 3: 7.5%
 - Lab 0: 2.5%
 - Lab 1: 10%
 - Lab 2: 10%
 - Lab 3: 10%
 - Lab 4: 12.5%
- Quizzes: 15% each
- Class Participation: 5% (clicker, piazza, and general participation during class)

The System you will be working on:



Open-ended Project

- We will release a list of potential project ideas, but you can also BYO.
- Projects needs to be related to data-centric systems
- Last projects included GPU-accelerated DBs, Database benchmarks, Learned Index Structures, Text2SQL, ...
- Final deliverable: 5-min recorded presentation, in-class Q&A, written report

MapD: GPU Accelerated SQL Database

- Key insight: GPUs have enough memory that a cluster of them can store substantial amounts of data
- Not an accelerator, but a full blown query processor!
- Massive parallelism enables interactive browsing interfaces
 - 4x GPUs can provide > 1 TB/sec of bandwidth
 - 12 Tflops compute
 - Order of magnitude speedups over CPUs, when data is on GPU
- "Shared nothing" arrangement



147,201,658 tweets from Oct 1, 2012 to Nov 6, 2012



Relative intensity of "tornado" on Twitter (with point overlay) from Febuary 29, 2012 to March 1, 2012





A Revolutionary GPU-Accelerated Analytics Platform

Instant analytics on billions of records, including geospatial and time series data, for a complete view of what, when and where.





Monday	Tuesday	Wednesday
Sep 2 Labor Day	Sep 3 Registration Day	Sep 4 <i>First Day of Classes</i> Lec 1: Introduction to Databases / Relational Model / SQL Part 1 Assigned: Lab 0
Sep 9 Lec 2: SQL Part 2 Reading Assignment Assigned: PS 1	Sep 10	Sep 11 Lec 3: Schema Design Reading Assignment Assigned: Lab 1 Due: Lab 0
Sep 16 Lec 4: Intro to Database Internals Reading Assignment	Sep 17	Sep 18 Lec 5: Database Operators and Query Processing Reading Assignment Due: PS 1
Sep 23 Lec 6: Indexing and Access Methods Reading Assignment Assigned: Lab 2	Sep 24	Sep 25 Lec 7: Join Algorithms Reading Assignment Due: Lab 1 Due: Project teams (if doing final project) Assigned: PS 2

Today

• Why database systems?

- User's perspective:
 - Modeling data
 - Querying data

Data Models



Zoo Website Features

- Admin interface
 - Edit
 - Add an animal
- Public
 - Pictures & Maps
- Zookeeper
 Feed times



• 1K animals, 5K URLs, 10 admins, 200 keepers

Zoo Data Model Entity Relationship Diagram



Animals have names, ages, species

Keepers have names

Cages have cleaning times, buildings

Animals are in 1 cage; cages have multiple animals

Keepers keep multiple cages, cages kept by multiple keepers





Mike the Moose

Tim the Giraffe

Sally the Student

Zoo Data Model Entity Relationship Diagram



Zoo Data Model Entity Relationship Diagram



Study Break #1

• Questions

– Are there other ways to represent this zoo data than a collection of tables?

– What are tradeoffs in different representations?

Alternatives to Relations



Multiple Tabular Representations Are Possible

name	age	species	cageno	feedtime	bldg
tim	13	giraffe	1	1:30	1
mike	3	moose	2	2:30	2
sally	1	student	1	1:30	1

Is this a good representation? Why or why not?

Not "Normalized" – repeats data. More in later lectures!

SQL – Structured Query Language

SELECT field1, ..., fieldM FROM table1, ... WHERE condition1, ...

INSERT INTO table VALUES (field1, ...)

UPDATE table SET field1 = X, ... WHERE condition1,...

Names of Giraffes

Imperative

for each row r in animals
 if r.species = `giraffe'
 output r.name

• Declarative

SELECT r.name FROM animals
WHERE r.species = `giraffe'

Cages in Building 32

Imperative

NESTED for each row a in animals for each row c in cages if a.cageno = c.no and c.bldg = 32 output a

Declarative

SELECT a.name FROM animals AS a, cages AS c WHERE a.cageno = c.no AND c.bldg = 32

Average Age of Bears

Declarative

SELECT AVG(age) FROM animals
WHERE species = `bear'

Complex Queries

Find pairs of animals of the same species and different genders older than 1 year:

SELECT a1.name,a2.name FROM animals as a1, animals as a2 WHERE a1.gender = M and a2.gender = F AND a1.species = a2.species "self join" AND a1.age > 1 and a2.age > 1

Find cages with salamanders fed later than the average feedtime of any cage:

SELECT cages.cageid FROM cages, animals WHERE animals.species = 'salamander' AND animals.cageid = cages.cageid AND cages.feedtime > "nested queries" (SELECT AVG(feedtime) FROM cages)

Complex Queries 2

Find keepers who keep both students and salamanders:

SELECT keeper.name
FROM keeper, cages as c1, cages as c2, keeps as k1, keeps as k2, animals as a1, animals as a2
WHERE c1.cageid = k1.cageid AND keeper.keeperid = k1.keeperid
AND c2.cageid = k2.cageid AND keeper.keeperid = k2.keeperid
AND a1.species = 'student' AND a2.species = 'salamander'
AND c1.cageid = a1.cageid AND c2.cageid = a2.cageid



Declarative Queries: What, not How

- Many possible proc query
- Besides looping thr could we do?
 - Sort animals on type
 - + good for "bears" query
 - Inserts are slower
 - Store animals table in a hash table or tree ("index")



SQL \rightarrow Procedural Plan \rightarrow Optimized Plan \rightarrow Compiled Program


SQL \rightarrow Procedural Plan \rightarrow <u>Optimized</u> <u>Plan</u> \rightarrow Compiled Program

Select Bldg == 2



SQL programmer just thinks in terms of table operations, not the order or implementation!

Summary: Database Systems

- Relational Model + Schema Design
- Declarative Queries
- Query Optimization
- Efficient access and updates to data
 - Recoverability
 - Consistency

Relational Model

"Those who cannot remember the past are doomed to repeat it"

A Short History Lesson

Different Data Models

- Hierarchical (IMS/DL1) 1960's
- Network (CODASYL) 1970's
- Relational 1970's and beyond

• Key ideas

- Data redundancy (and how to avoid it)
- Physical and logical data independence
- Relational algebra and axioms

Recap: Zoo Data Model Entity Relationship Diagram



Animals have names, ages, species

Keepers have names

Cages have cleaning times, buildings

Animals are in 1 cage; cages have multiple animals

Keepers keep multiple cages, cages kept by multiple keepers

Zoo Tables (aka Relations)

cageno

1

1

2

Animals

name

Mike

Tim

Sally

IC

1

2

3

"Schema": Field names & types

Rows, records, or tuples

Cages

no	feedtime	building
1	12:30	1
2	1:30	2

species

Moose

Giraffe

Student

age

3

12

1

Keepers

id	name
1	Jane
2	Joe

Keeps

Keeps	
kid	cageno
1	1
1	2
2	1

Modified Zoo Data Model



Slightly different than last time:

- Each animal in 1 cage, multiple animals share a cage
- Each animal cared for by 1 keeper, keepers care for multiple animals

IMS (Hierarchical Model)

- Data organized as *segments*
 - Collection of records, each with same segment type
 - Arranged in a tree of segment types, e.g.:

Keepers	Keepers
Animals	Cages
Cages	Animals

- Segments have different physical representations
 - Unordered
 - Indexed
 - Sorted
 - Hashed

Example Hierarchy



IMS Physical Represenation

Keepers segment

A1 SegmentA2 SegmentA3 SegmentC1 SegmentC2 SegmentC3 Segment

Segment Structure

- Each segment has a particular physical representation
 - Chosen by database administrator
 - E.g., ordered, hashed, unordered...
- Choice of segment structure affects which operations can be applied on it

IMS / DL/1 Operations

- GetUnique (seg type, pred)
 - Get first record satisfying pred
 - Only supported by hash / sorted segments
- GetNext (seg type, pred)
 - Get first or next key in hierarchical order
 - Starts from last GetNext/GetUnique call
- **GetNextParent** (seg type, pred)
 - Same as GetNext, but will not move up hierarchy to next parent
- Delete, Insert

Example PL/1 Program #1

Find the cages that Jane keeps

```
GetUnique(Keepers, name = "Jane")
Until done:
cageid = GetNextParent (cages).no
print cageid
```

Jane (keeper) (HSK 1)

Mike, moose, ... (2) 1, 100sq ft, ... (3) Tim, giraffe, ... (4) 2, 1000sq ft, ... (5) Sally, student, ... (6) 1, 100sq ft, ... (7) Joe (keeper) (8) This iterates through data underneath Jane

Implicitly, now navigating from the Jane record in keepers

Example PL/1 Program #2

Find the keepers that keep cage 6 keep = GetUnique(keepers)

Until done: cage = GetNextParent(cages, id = 6) if (cage is not null): print keep keep = GetNext(keepers)

What's Bad About IMS/PL1?

- Duplication of data w/ non-hierarchical data
- Painful low level programming interface have to program the search algorithm
- Limited physical data independence
 - Change root from indexed to hash --- programs that do GetNext on the root segment will fail
 - Change root from keepers to animals? Also fails.
 - Cannot do inserts into sequential root structure
- Limited logical data independence
 - Schemas change, do programs have to?

Logical Data Independence

 Suppose as a cost cutting measure, Zoo management decides a keeper will be responsible for a cage – and all the animals in that cage.



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Programs have to change, because the position in the database after a GN/GNP call may not be the same anymore!

Will see how SQL addresses this

Schemas Change for Many Reasons

- Management decides to have "patrons" who buy cages
 - Need to add a patronid column
- Feds change the rules (OSHA)
 - Keepers can keep at most 2 cages
- Tax rules change (IRS)
- Merge with another zoo



Study break #2

• Consider a course schema with students, classes, rooms (each has a number of attributes)



Classes in exactly one room Students in zero or more classes Classes taken by zero or more students Rooms host zero or more classes

Questions

- Describe one possible hierarchical schema for this data
- 2. Is there a hierarchical representation that is free of redundancy?



Solution

- Many are possible; one example:
 - Classes
 - Students
 - Rooms
- Duplicates data about students,
 - Students take multiple classes, rooms host multiple classes
- Any other arrangement also duplicates data

CODASYL

- Conference/Committee on Data Systems Languages
 - Responsible for COBOL
- CODASYL data model developed by consortium of large companies in the 70's
- Designed to address limitations of IMS/PL1
- Graph or network-based data model



The Computer Museum in Boston celebrated COBOL's 25th anniversary on May 16, 1985. The COBOL tombstone sent to Charles Phillips (see his adjoining article) was presented to the museum. Here surrounding the tombstone (left to right): Ron Hamm, Jack Jones, Jan Prokop, Oliver Smoot, Tom Rice, Donald Nelson, Grace Hopper, Michael O'Connell, and Howard Bromberg (photo by Lilian Kemp). At the museum's celebration, Bromberg told the following tale of the tombstone.

Example CODASYL Network



Example: Find Cages Joe Keeps



- Programming is finding an entry point and navigating around in multidimensional space
 - Each line of code is implicitly at some location in this structure
 - Have to remember where you are

Codasyl Problems

Incredibly complex —

"Navigational Programming"



- Programs lack physical or logical data independence
 - Can't change schema w/out changing programs;
 - Can't change physical representation either b/c different index types might or might not support different operations
- Some of this could have been fixed by adding a highlevel language to CODASYL
- Relational model was a clean-slate approach designed to fix this

Relational Principles

- Simple representation
- Set-oriented programming model that doesn't require "navigation"
- No physical data model description required(!)
 E.g., no specification of sort orders, hashes, etc

Relational Data Model

- All data is represented as tables of records (tuples)
- Tables are unordered sets (no duplicates)
- Database is one or more tables
- Each relation has a *schema* that describes the types of the columns/fields
- Each field is a primitive type -- not a set or relation
- Physical representation/layout of data is not specified (no index types, nestings, etc)

Zoo Tables Foreign

Animals

feedtime	keptby	cageno	species	age	name	id	
10:00 am	1	1	Moose	3	Mike	1	ary
11:00 am	2	1	Giraffe	12	Tim	2	primu
1:00 pm	1	2	Student	1	Sally	3	Key
11:00 am	1 2 1	1 1 2	Giraffe	12	Tim	2	primary Key

Cages

	<u> </u>	
al		building
primary	1	1
vel	2	2
Nº.		

Keepers

al	id	name
arimany	1	Jane
vel	2	Joe

Schema: Animals (id: int, name: string, age: int, species: string, cageno: int **references** cages.no, keptby: int **references** keepers.id. feedtime: time)

Zoo Tables (original schema)

Animals id species cageno name age Foreign Key primary Key 1 Mike 3 Moose 1 2 12 Giraffe Tim 1 3 Sally Student 2 1

Cages

	0		
al	no	feedtime	building
primary	1	12:30	1
ver	2	1:30	2
N -			

Keepers

pri

al	id	name
imary	1	Jane
Lev	2	Joe

Keeps



Relational Algebra

- **Projection** (π(T,c1, ..., cn))
 - select a subset of columns c1.. cn
- Selection (σ(T, pred))
 - select a subset of rows that satisfy pred
- **Cross Product** (T1 x T2)
 - combine two tables
- **Join** (\bowtie (T1, T2, pred)) = σ (T1 x T2, pred)
 - combine two tables with a predicate
- Plus set operations (UNION, DIFFERENCE, etc)
- "Algebra" Closed under its own operations
 - Every expression over relations produces a relation

Join as Cross Product

Animals	Cages			
name	cageno		no	bldg
Mike	1		1	32
Tim	1		2	36
Sally	2			

cageno	no	name	bldg
1	1	Mike	32
1	2	Mike	36
1	1	Tim	32
1	2	Tim	36
2	1	Sally	32
2	2	Sally	36

Find animals in bldg. 32

```
σ(
   ⊠(
     animals,
     cages,
     animals.cageno = cages.no
   ),
   bldg = 32
```

Real implementations do not ever materialize the cross product

Join as Cross Product

Animals	Cages		
name	cageno	no	bldg
Sam	1	1	32
Tim	1	2	36
Sallv	2		

cageno	no	name	bldg
1	1	Mike	32
4	~	n a · I	26
1	۷	WIKE	30
1	1	Tim	32
1	2	Time	20
1	2		30
2	4	Caller	22
2	1	Sany	52
2	2	Sally	36

Find animals in bldg. 32

Sally

```
σ(
   ⊠(
     animals,
     cages,
     animals.cageno = cages.no
   ),
   bldg = 32
```

1. animals.cageno = cages.no

Join as Cross Product

Animals		Cages		
name	cageno	no	bldg	
Sam	1	1	32	
Tim	1	2	36	
Sally	2			

```
bldg
cageno
          no
                 name
         1
                 Mike
                              32
1
                 iviike
                              36
          2
         1
                 Tim
                              32
1
                 Tim
                              30
                              32
                 Call
                 Jany
                  20
                 Jaily
                              50
```

- 1. animals.cageno = cages.no
- 2. bldg = 32

Find animals in bldg. 32

Do you think this is how databases actually execute joins?

Relational Identities

- Join reordering
 - $A \bowtie B = B \bowtie A$
 - (A ⋈ B) join C = A ⋈ (B ⋈ C)
- Selection reordering
 - $\ \sigma_1(\sigma_2(\mathsf{A})) = \sigma_2(\sigma_1(\mathsf{A}))$
- Selection push down
 - $-\sigma(A \Join_{pred} B) = \sigma(A) \Join_{pred} \sigma(b)$
 - $-\sigma$ may only apply to one table
- Projection push down
 - $\pi(\sigma(A)) = \sigma(\pi(A))$
 - As long as π doesn't remove fields used in σ
 - Also applies to joins

Push Down Example



Join Ordering Example

Find buildings Joe keeps

JOIN keepers on kid = id

SQL

SELECT building

SQL query executor free to choose either ordering! Text of SQL query is not an ordering



FROM cages JOIN keeps ON no = cageno

Best ordering depends on sizes of tables

Filtered keepers may be *much* smaller

Study Break # 2

Schema:

classes: (cid, c_name, c_rid, ...)
rooms: (rid, bldg, ...)
students: (sid, s_name, ...)
takes: (t_sid, t_cid)

SELECT s_name FROM student,takes,classes WHERE t_sid=sid AND t_cid=cid AND c_name='6.830'

Questions

- Write an equivalent relational algebra expression for this query
- Are there other possible expressions?
- Do you think one would be more "efficient" to execute? Why?

SELECT s_name FROM student,takes,classes WHERE t_sid=sid AND t_cid=cid AND c_name='6.830'
Solution

SELECT s_name FROM student,takes,classes WHERE t_sid=sid AND t_cid=cid AND c_name='6.830' \bowtie (student, ⋈ (σ classes, c name = '6.830'), takes, t_cid=cid t_sid=sid

Filtering first is probably a good idea

Filtered table is small, so do join with it and classes first

Will formalize this intuition in a few classes

IMS v CODASYL v Relational

	IMS	CODASYL	Relational
Many to many relationships without redundancy	×	\checkmark	\checkmark
Declarative, non "navigational" programming	X	×	\checkmark

IMS v CODASYL v Relational

	IMS	CODASYL	Relational
Many to many relationships without redundancy	×	\checkmark	\checkmark
Declarative, non "navigational" programming	×	×	\checkmark
Physical data independence	×	×	\checkmark

Physical Independence

Can change representation of data without needing to change code

Example:

SELECT a.name FROM animals AS a, cages AS c WHERE a.cageno = c.no AND c.bldg = 32

- Nothing about how animals or cages tables are represented is evident
 - Could be sorted, stored in a hash table / tree, etc
 - Changing physical representation will not change SQL
- No specification of implementation
- Both CODASYL and IMS expose representation-dependent operations in their query API

IMS v CODASYL v Relational

	IMS	CODASYL	Relational
Many to many relationships without redundancy	×	\checkmark	\checkmark
Declarative, non "navigational" programming	×	×	\checkmark
Physical data independence	×	×	\checkmark
Logical data independence	X	×	\checkmark

Logical Data Independence

- What if I want to change the schema without changing the code?
- No problem if just adding a column or table
- Views allow us to map old schema to new schema, so old programs work
 - Even when changing existing fields

Key Idea: View

- View is a logical definition of a table in terms of other tables
- E.g., a view computing animals per cage

```
CREATE VIEW cage_count as
(SELECT cageno, count(*)
FROM animals JOIN cages ON cageno=no
GROUP by cageno
)
```

This view can be used just like a table in other queries

Views Example

- Suppose I want to add multiple feedtimes?
- How to support old programs?
 - Rename existing animals table to animals2
 - Create feedtimes table
 - Copy feedtime data from animals2
 - Remove feedtime column from animals2
 - Create a view called animals that is a query over animals2 and feedtimes

CREATE VIEW animals as (

SELECT name, age, species, cageno,

(SELECT feedtime FROM feedtimes WHERE animalid = id LIMIT 1) FROM animals2

Summary: IMS v CODASYL v Relational

	IMS	CODASYL	Relational
Many to many relationships without redundancy	×	\checkmark	\checkmark
Declarative, non "navigational" programming	×	×	\checkmark
Physical data independence	×	×	\checkmark
Logical data independence	×	×	\checkmark

Next time: Fancy SQL

Today

• Why database systems?

- User's perspective:
 - Modeling data
 - Querying data

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Mike the Moose

Tim the Giraffe

Sally the Student

Zoo Data Model Entity Relationship Diagram



Zoo Data Model Entity Relationship Diagram



Study Break #1

• Questions

– Are there other ways to represent this zoo data than a collection of tables?

– What are tradeoffs in different representations?

Alternatives to Relations



Multiple Tabular Representations Are Possible

name	age	species	cageno	feedtime	bldg
tim	13	giraffe	1	1:30	1
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sally	1	student	1	1:30	1

Is this a good representation? Why or why not?

Not "Normalized" - repeats data. More in later lectures!

SQL – Structured Query Language

SELECT field1, ..., fieldM FROM table1, ... WHERE condition1, ...

INSERT INTO table VALUES (field1, ...)

UPDATE table SET field1 = X, ... WHERE condition1,...

Names of Giraffes

Imperative

for each row r in animals
 if r.species = `giraffe'
 output r.name

• Declarative

SELECT r.name FROM animals
WHERE r.species = `giraffe'

Cages in Building 32

Imperative

NESTED for each row a in animals for each row c in cages if a.cageno = c.no and c.bldg = 32 output a

Declarative

SELECT a.name FROM animals AS a, cages AS c WHERE a.cageno = c.no AND c.bldg = 32

Average Age of Bears

Declarative

SELECT AVG(age) FROM animals
WHERE species = `bear'

Complex Queries

Find pairs of animals of the same species and different genders older than 1 year:

SELECT a1.name,a2.name FROM animals as a1, animals as a2 WHERE a1.gender = M and a2.gender = F AND a1.species = a2.species "self join" AND a1.age > 1 and a2.age > 1

Find cages with salamanders fed later than the average feedtime of any cage:

SELECT cages.cageid FROM cages, animals WHERE animals.species = 'salamander' AND animals.cageid = cages.cageid AND cages.feedtime > "nested queries" (SELECT AVG(feedtime) FROM cages)

Complex Queries 2

Find keepers who keep both students and salamanders:

SELECT keeper.name
FROM keeper, cages as c1, cages as c2, keeps as k1, keeps as k2, animals as a1, animals as a2
WHERE c1.cageid = k1.cageid AND keeper.keeperid = k1.keeperid
AND c2.cageid = k2.cageid AND keeper.keeperid = k2.keeperid
AND a1.species = 'student' AND a2.species = 'salamander'
AND c1.cageid = a1.cageid AND c2.cageid = a2.cageid



Declarative Queries: What, not How

- Many possible proc query
- Besides looping thr could we do?
 - Sort animals on type
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 - Store animals table in a hash table or tree ("index")



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SQL \rightarrow Procedural Plan \rightarrow <u>Optimized</u> <u>Plan</u> \rightarrow Compiled Program

Select Bldg == 2



SQL programmer just thinks in terms of table operations, not the order or implementation!

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- Declarative Queries
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